

# Fundamentals of Small-Angle Neutron Scattering

SANS: A Tool for Relating Nanoscale  
Structure to Bulk Properties

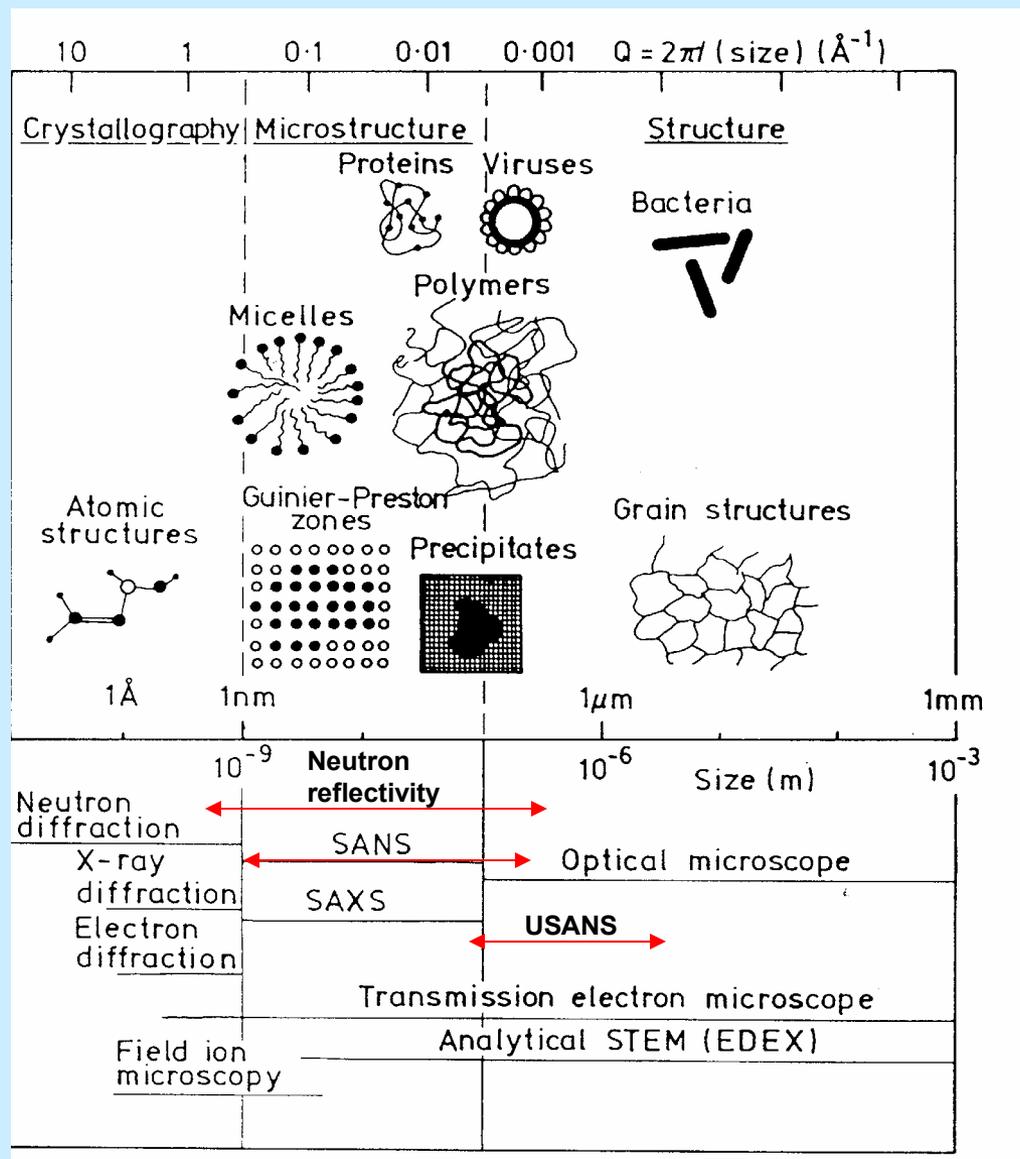
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(<http://www.ncnr.nist.gov>)

# Techniques for the Measurement of Microstructure



# SANS or SAXS?

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	SANS	SAXS
Source of scattering	Differences in scattering length density	Differences in electron density
Sources	Few and weak	Many and strong
Size scale	1 nm - 1000 nm	1 nm - 1000 nm

# SANS or SAXS?

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	SANS	SAXS
Special Features	<ul style="list-style-type: none"><li>• D labeling and H/D contrast variation</li><li>• Magnetic scattering</li><li>• Conducive to extreme environments</li><li>• nondestructive</li></ul>	<ul style="list-style-type: none"><li>• msec resolution for time-resolved measurements</li><li>• Superior Q-resolution</li><li>• Anomalous scattering</li><li>• Small sample size</li></ul>

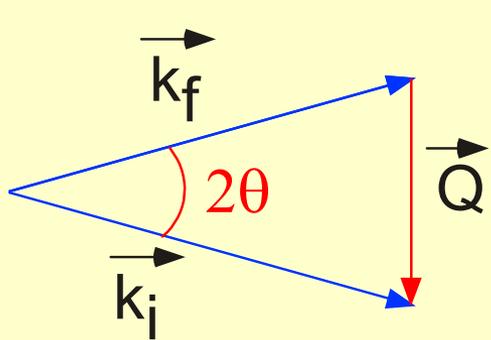
# SANS or SAXS?

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	SANS	SAXS
Complications	<ul style="list-style-type: none"><li>• Incoherent scattering</li><li>• H/D isotope effects</li></ul>	<ul style="list-style-type: none"><li>• Radiation damage to some samples</li><li>• Parasitic scattering</li><li>• Fluorescence</li><li>• Beam stability</li></ul>



For elastic scattering ( $k_i = k_f = 2\pi/\lambda$ )



$$\vec{Q} = \vec{k}_i - \vec{k}_f$$

$$|\vec{Q}| = 2k \sin \theta$$

$$Q = \left( \frac{4\pi}{\lambda} \right) \sin \theta$$

Recall Bragg's Law  $\longrightarrow \lambda = 2d \sin \theta$

or 
$$d = \frac{\lambda}{2 \sin \theta} = \frac{2\pi}{\left( \frac{4\pi}{\lambda} \right) \sin \theta} = \frac{2\pi}{Q}$$

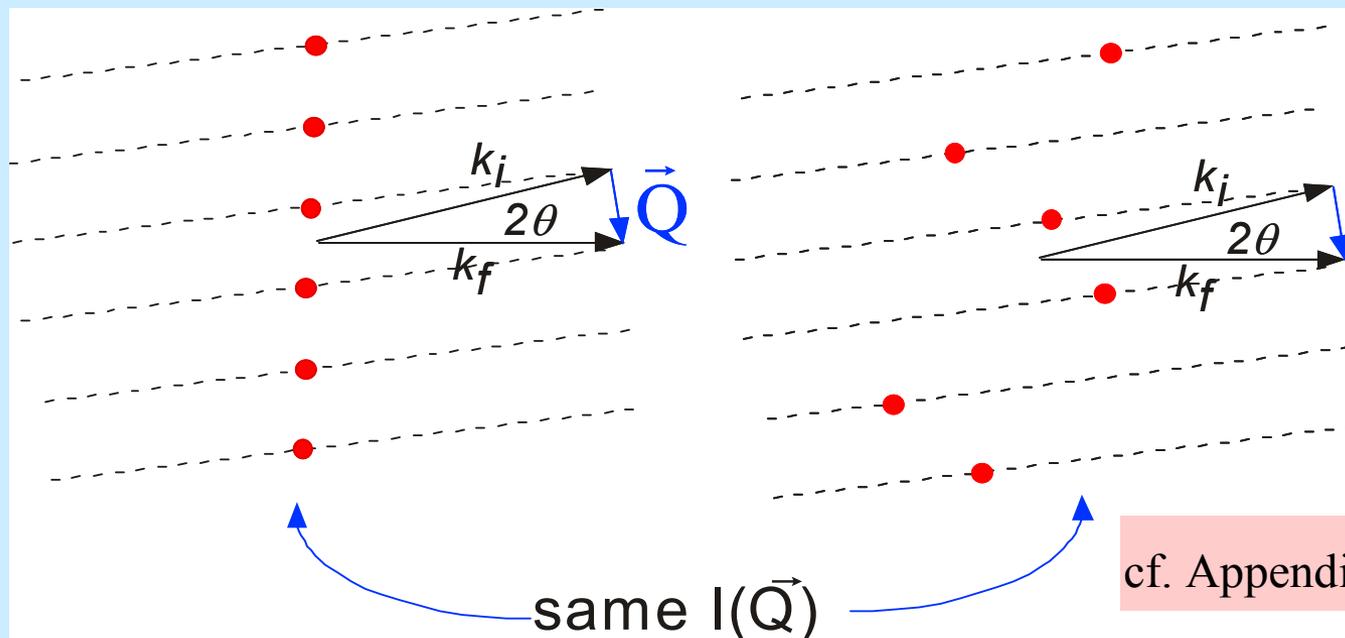
In general, diffraction (SANS or NR) probes length scale

$$d \approx \frac{2\pi}{Q}, \text{ for small scattering angles, } d \approx \frac{\lambda}{2\theta}$$

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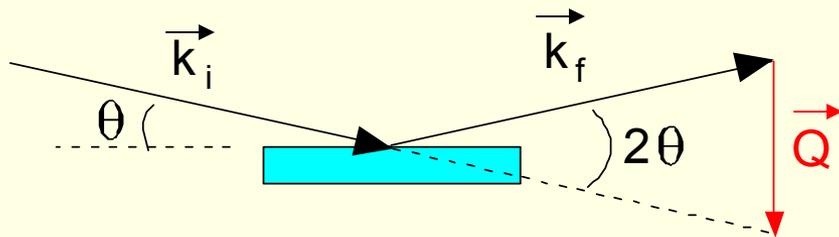
More specifically, diffraction (SANS or NR) probes structure in the direction of  $\vec{Q}$ , on a scale,  $d \approx 2\pi/|\vec{Q}|$



# Diffraction Probes Structure in the Direction of $\vec{Q}$

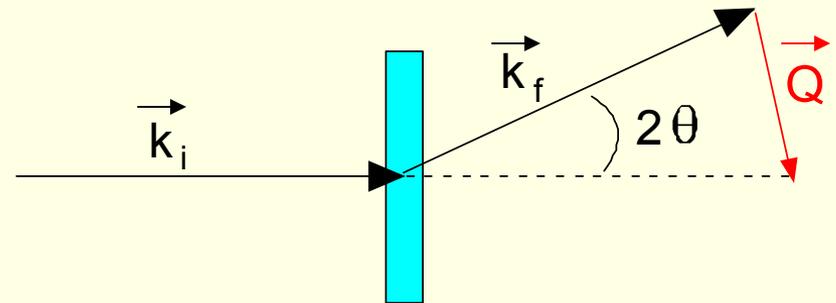
$$\vec{k}_i - \vec{k}_f = \vec{Q}$$

## Specular Reflection Geometry



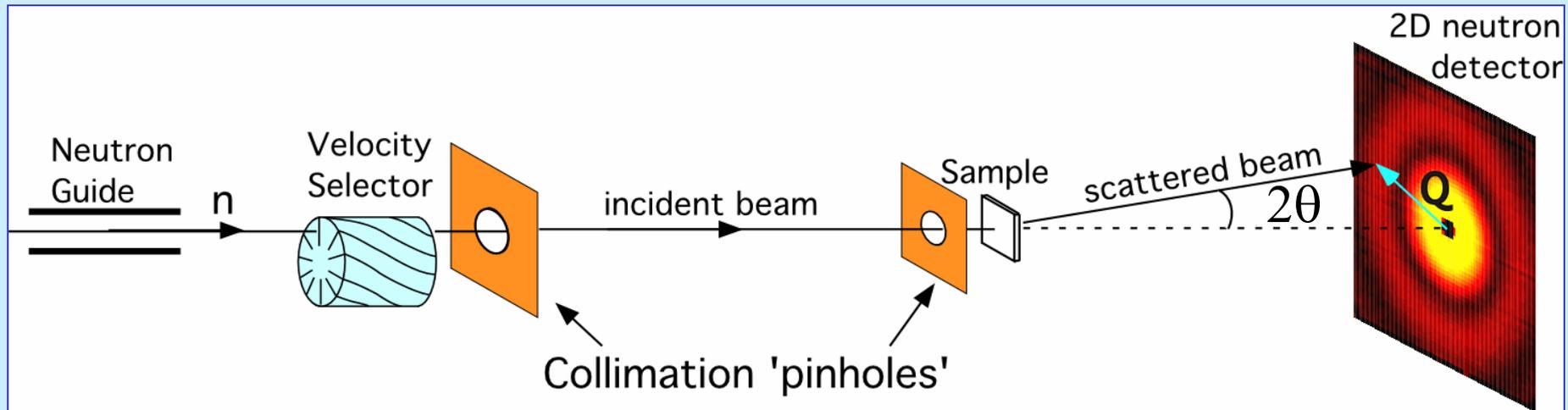
Reflectivity probes structure perpendicular to surface (parallel to  $\vec{Q}$ ), and *averages over structure in plane of sample.*

## SANS Geometry



SANS probes structure in plane of sample (parallel to  $\vec{Q}$ ), and *averages over structure perpendicular to sample surface.*

# SANS Instrument Schematic



Small-Angle Neutron Scattering (SANS) probes structure on a scale  $d$ , where

$$d \approx \frac{\lambda}{2\theta} \begin{array}{l} \text{(wavelength)} \\ \text{(scattering angle)} \end{array}$$

$0.5 \text{ nm} < \lambda < 2 \text{ nm}$  (cold neutrons)

$0.1^\circ < \theta < 10^\circ$  (small angles)

$1 \text{ nm} < d < 300 \text{ nm}$

# SANS Fundamentals

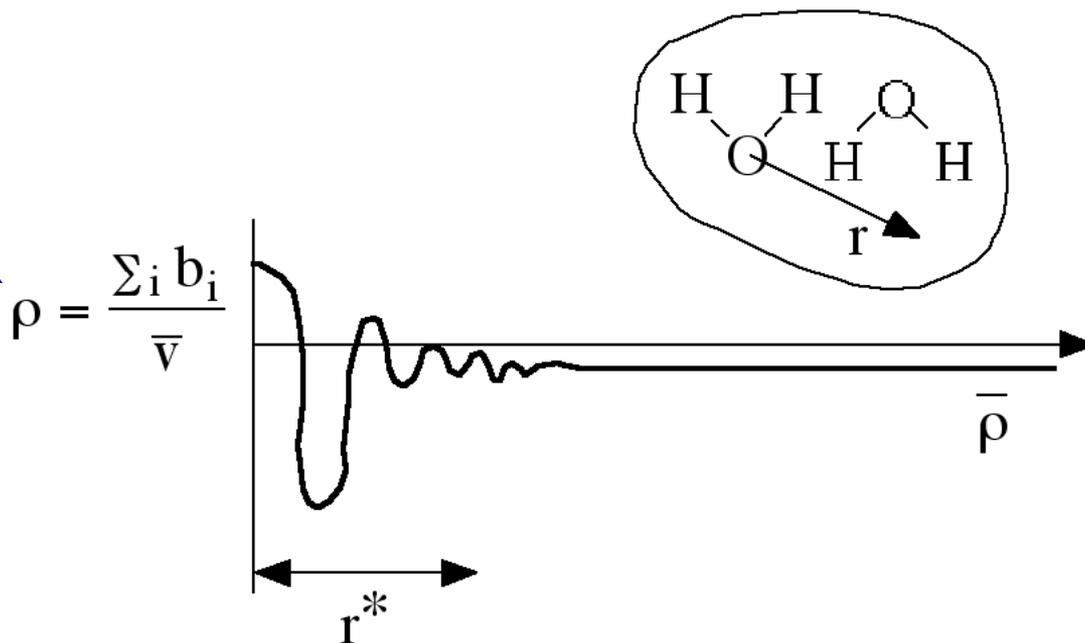
- For Length Scale Probed by SANS Can Use Continuum Approximation

Scattering Length  
Density

neutrons      X rays

$b$        $\longrightarrow$        $Z r_e$

- consider  $\text{H}_2\text{O}$ ,  $v \sim 30 \text{ \AA}^3$ ,  $r \sim 2 \text{ \AA}$



$$\frac{1}{q} > r^* \quad r^* \approx 10 \text{ \AA} \quad q \leq 0.1 \text{ \AA}^{-1} \quad (> 100 \text{ H}_2\text{O molecules})$$

- Therefore we *can* use material properties rather than atomic properties when doing small-angle scattering

# SANS Fundamentals

- Inhomogeneities in scattering length density,  $\rho(\mathbf{r})$ , give rise to small-angle scattering
- Angular dependence of scattering,  $I(q)$ , is given by:

$$I(\vec{\mathbf{q}}) = \frac{1}{V} \left| \int_V \rho(\vec{\mathbf{r}}) e^{i\vec{\mathbf{q}} \cdot \vec{\mathbf{r}}} d\vec{\mathbf{r}} \right|^2$$

Rayleigh-Gans eqn.

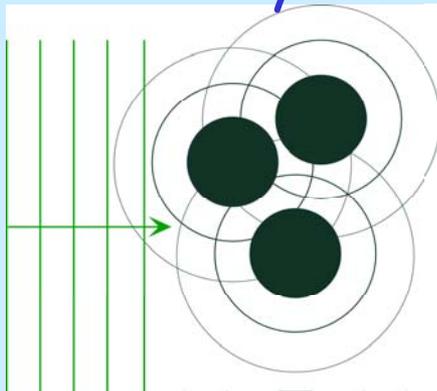
Entire volume of sample

# SANS Fundamentals: Coherent vs. Incoherent Scattering

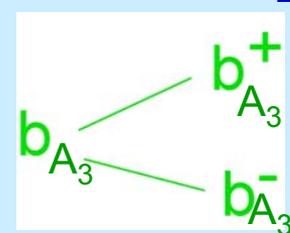
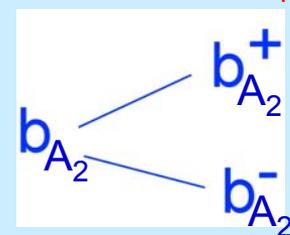
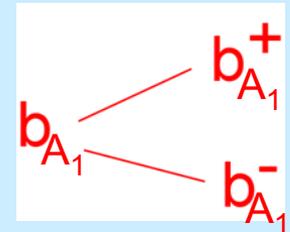
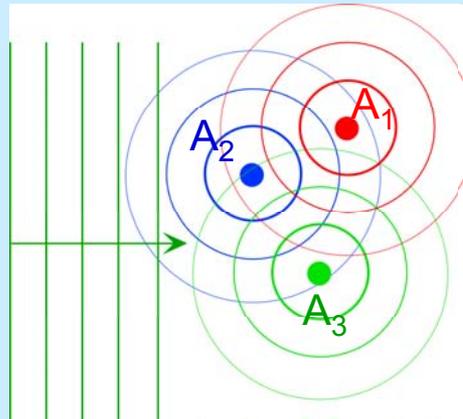
Consider scattering from  $N$  atoms of a single element,  ${}^A_Z X$

$$I(\vec{q}) = \frac{1}{V} \left| \sum_i^N b_i e^{i\vec{q} \cdot \vec{r}_i} \right|^2$$

X rays



Neutrons



scattering lengths,  $b$ 's, depend on isotope and isotope spin

$$Z r_e f(\theta)$$

atomic number

electron radius

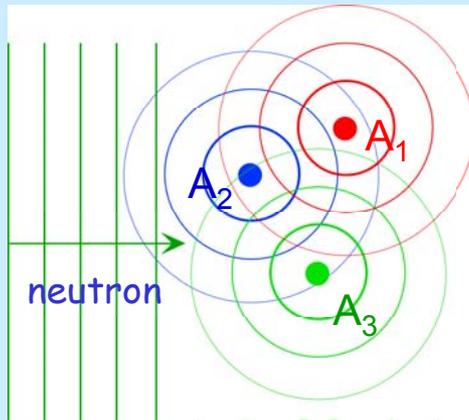
atom form factor

scattering length

# SANS Fundamentals: Coherent vs. Incoherent Scattering

Consider scattering from  $N$  atoms of a **single** element,  ${}^A_Z X$

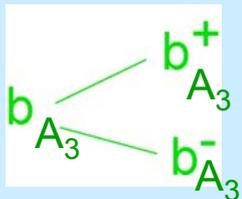
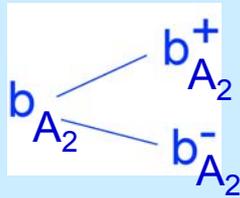
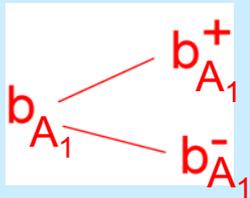
$$I(\vec{q}) = \frac{1}{V} \left\langle \left| \sum_i^N b_i e^{i\vec{q}\cdot\vec{r}_i} \right|^2 \right\rangle$$



$$I(\vec{q}) = \frac{1}{V} \left\langle \sum_{i,j} b_i b_j e^{i\vec{q}\cdot(\vec{r}_i - \vec{r}_j)} \right\rangle$$

Since  $b$ 's are **uncorrelated** with the atom positions

$$I(\vec{q}) = \frac{1}{V} \sum_{i,j} \langle b_i b_j \rangle \langle e^{i\vec{q}\cdot(\vec{r}_i - \vec{r}_j)} \rangle = I_{\text{Coh}}(\vec{q}) + I_{\text{Incoh}}(\vec{q})$$



scattering lengths: depend on isotope *and* isotope spin

where

$$I_{\text{Coh}}(\vec{q}) = \frac{1}{V} \langle b \rangle^2 \sum_{i,j} \langle e^{i\vec{q}\cdot(\vec{r}_i - \vec{r}_j)} \rangle$$

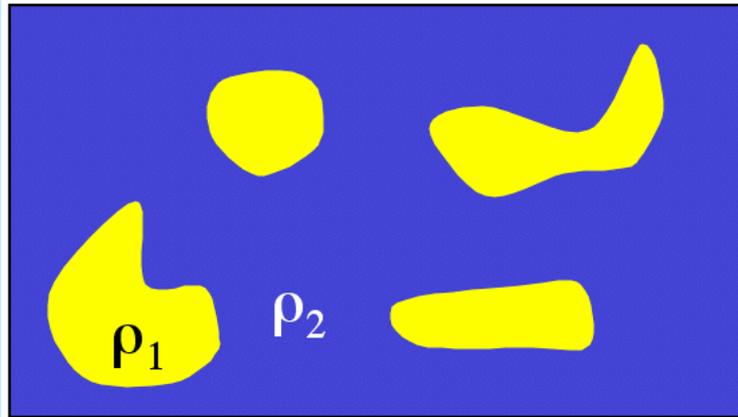
structural information

$$I_{\text{Incoh}}(\vec{q}) = \frac{1}{V} \left( \langle b^2 \rangle - \langle b \rangle^2 \right) \sum_{j,j} \langle e^{i\vec{q}\cdot(\vec{r}_j - \vec{r}_j)} \rangle$$

no structural information

1

# General Results for a Two-Phase System



‘phase’ in this context refers to scattering length density

- Incompressible phases of scattering length density  $\rho_1$  and  $\rho_2$

$$V = V_1 + V_2$$

$$\rho(\mathbf{r}) = \begin{cases} \rho_1 & \text{in } V_1 \\ \rho_2 & \text{in } V_2 \end{cases}$$

From the Rayleigh-Gans equation:

$$I(\vec{q}) = \frac{1}{V} \left| \int_{V_1} \rho_1 e^{i\vec{q}\cdot\vec{r}} d\vec{r}_1 + \int_{V_2} \rho_2 e^{i\vec{q}\cdot\vec{r}} d\vec{r}_2 \right|^2$$

break total volume  
into two sub-volumes

$$I(\vec{q}) = \frac{1}{V} \left| \rho_1 \int_{V_1} e^{i\vec{q}\cdot\vec{r}} d\vec{r}_1 + \rho_2 \left\{ \int_V e^{i\vec{q}\cdot\vec{r}} d\vec{r} - \int_{V_1} e^{i\vec{q}\cdot\vec{r}} d\vec{r}_1 \right\} \right|^2$$

$$I(\vec{q}) = \frac{1}{V} (\rho_1 - \rho_2)^2 \left| \int_{V_1} e^{i\vec{q}\cdot\vec{r}} d\vec{r}_1 \right|^2$$

Contrast Factor  
(depends on materials  
and radiation properties)

Spatial arrangement  
of material

# General Results for a Two Phase System

$$\frac{d\Sigma}{d\Omega}(\vec{q}) = \frac{1}{V}(\rho_1 - \rho_2)^2 \left| \int_{V_1} e^{i\vec{q}\cdot\vec{r}} d\vec{r}_1 \right|^2$$

'Particles'(i.e. discrete inhomogeneities)

Non-particulate systems

$$I(q) = \frac{N}{V}(\rho_1 - \rho_2)^2 \langle |F(q)|^2 \rangle S(q)$$

$$I(q) \propto (\rho_1 - \rho_2)^2 \int_V \gamma(\vec{r}) e^{-i\vec{q}\cdot\vec{r}} d\vec{r}$$

contrast

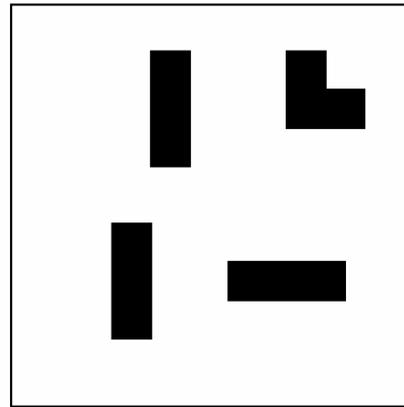
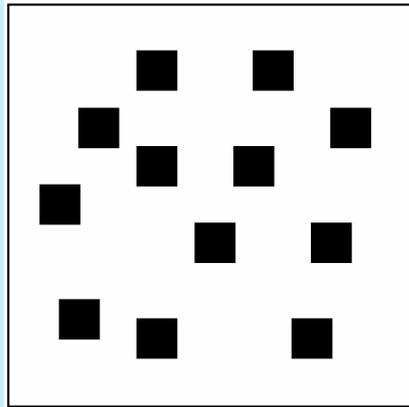
single particle shape

interparticle correlations

correlation function

$$\left| \int_{V_p} e^{i\vec{q}\cdot\vec{r}} d\vec{r} \right|^2$$

# Scattering Invariant



10 % black  
90 % white  
in each square

- Scattered intensity for each would certainly be different

$$\tilde{Q} = \int \frac{d\Sigma}{d\Omega}(\vec{q}) d\vec{q} = (2\pi)^3 \overline{(\rho(\vec{r}) - \bar{\rho})^2}$$

- For an incompressible, two-phase system:

$$\frac{\tilde{Q}}{4\pi} \equiv Q^* = 2\pi^2 \phi_b (1 - \phi_b) (\rho_w - \rho_b)^2$$

- Domains can be in any arrangement

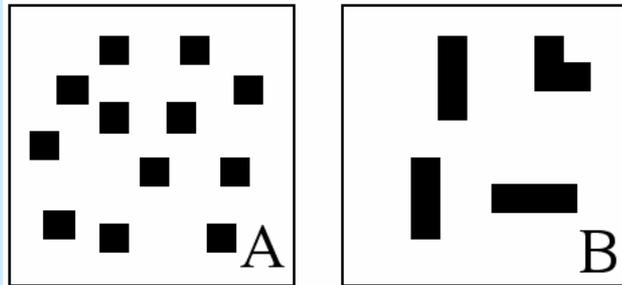
\*Guinier and Fournet, pp. 75-81.

# Porod Scattering

- At large  $q$ :  $I(q) \propto q^{-4}$

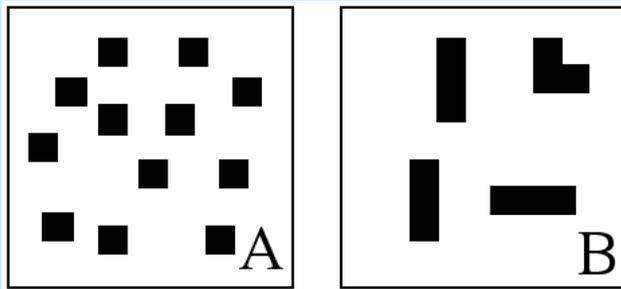
$$\frac{\pi}{Q^*} \cdot \lim_{q \rightarrow \text{large}} (I(q) \cdot q^4) = \frac{S}{V}$$

$S/V$  = specific surface area of sample

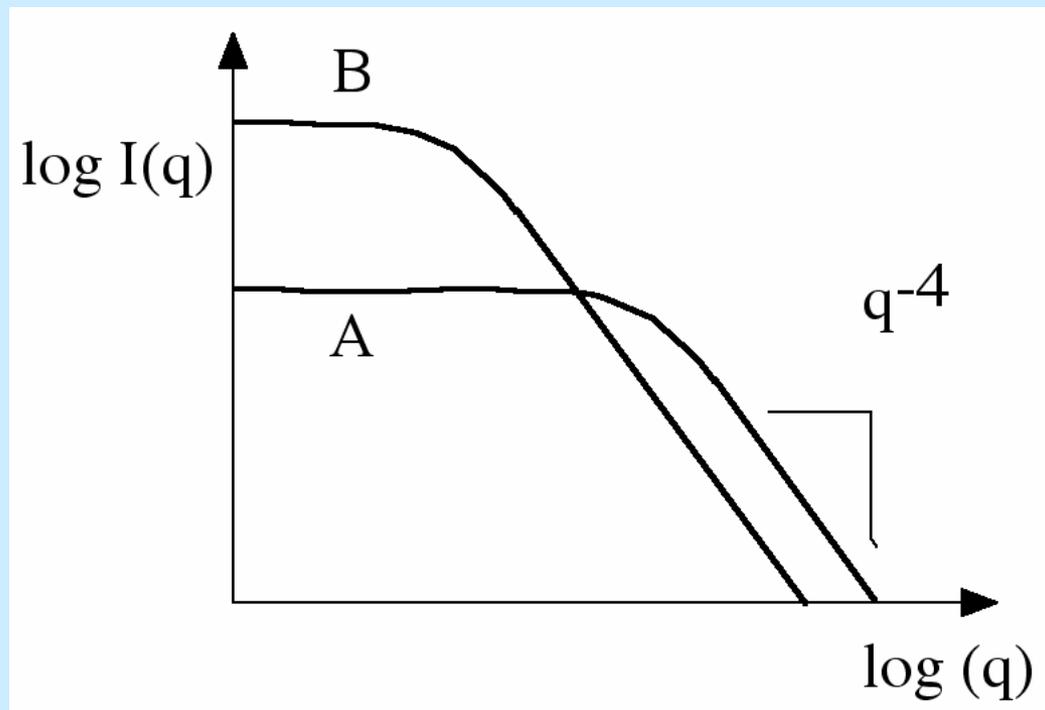


$$\frac{S_A}{V} > \frac{S_b}{V}$$

# Porod Scattering



$$\frac{S_A}{V} > \frac{S_B}{V}$$



\*Glatter and Kratky, pp. 30-31.

# SANS and Thermodynamics

Thermal density fluctuations also produce small-angle scattering

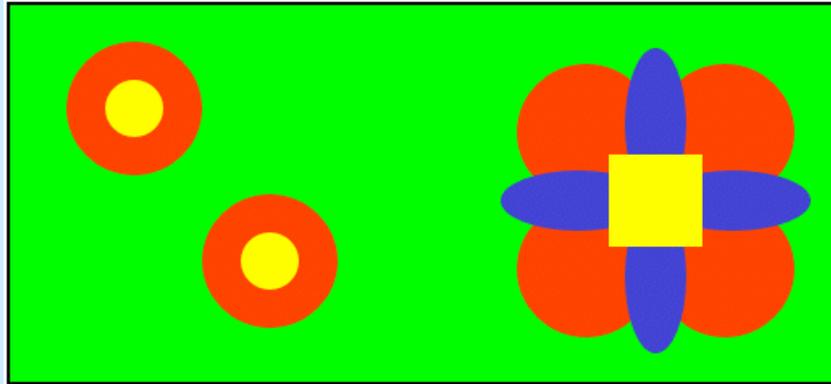
$$I(0) = \frac{\rho^2}{V} kT \beta_T \leftarrow \text{Isothermal compressibility}$$

Composition fluctuations also produce small-angle scattering

$$I(0) = \frac{\Delta\rho^2}{V} kT / \frac{\partial^2 G_m}{\partial \phi^2} \leftarrow \text{Curvature of Free Energy of Mixing}$$

Ref: *Introduction to Polymer Physics*, M. Doi, 1996

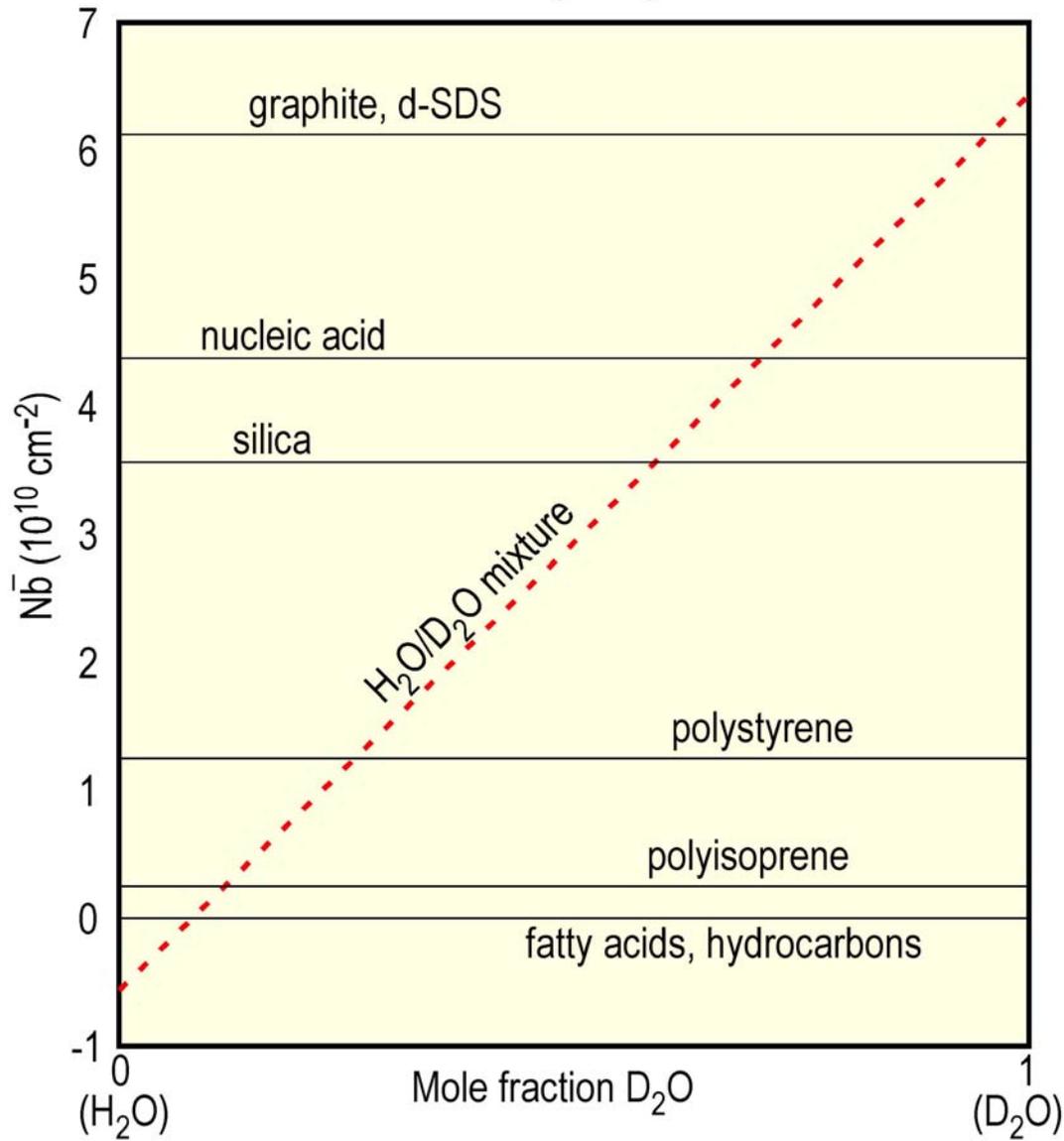
# Multi-Phase Materials



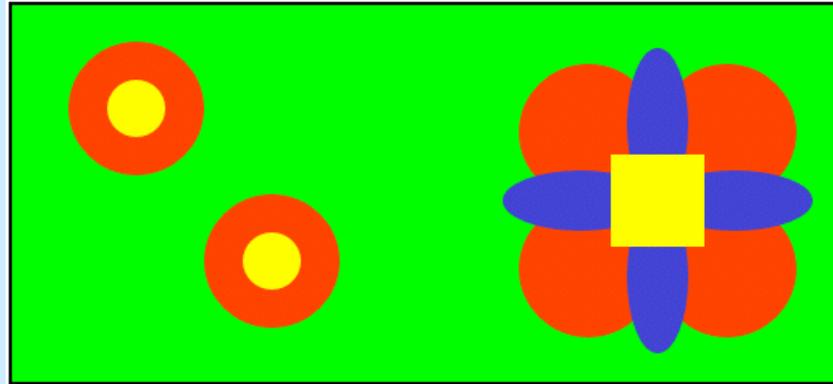
- “contrast” and “structure” terms can still be factored as for 2 - phase systems

$$\frac{d\Sigma}{d\Omega}(\vec{q}) \rightarrow \frac{d\Sigma}{d\Omega}(q, \rho_i, S_{ij})$$

# Neutron Scattering Length Densities



# Multi-Phase Materials



- for 'p' different phases in a matrix '0'

$$\frac{d\Sigma}{d\Omega}(\mathbf{q}) = \sum_{i=1}^p (\rho_i - \rho_0)^2 S_{ii}(\mathbf{q}) + \sum_{i < j} (\rho_i - \rho_0)(\rho_j - \rho_0) S_{ij}(\mathbf{q})$$

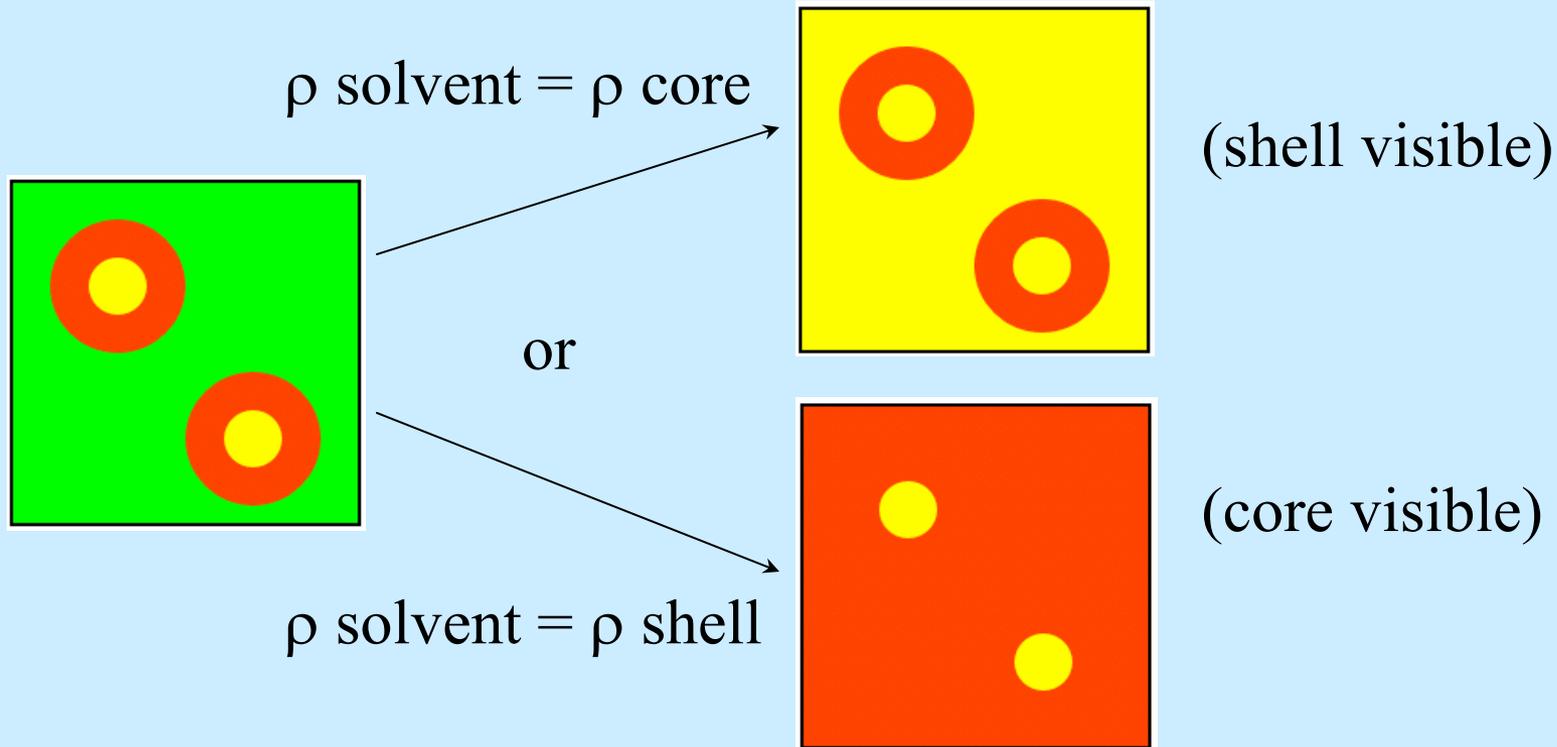
- Scattering is now a sum of several terms with possibly many unknown  $S_{ij}$ 's

\*Higgins and Benoit, pp. 121-122.

# Solving Multi-Phase Structures

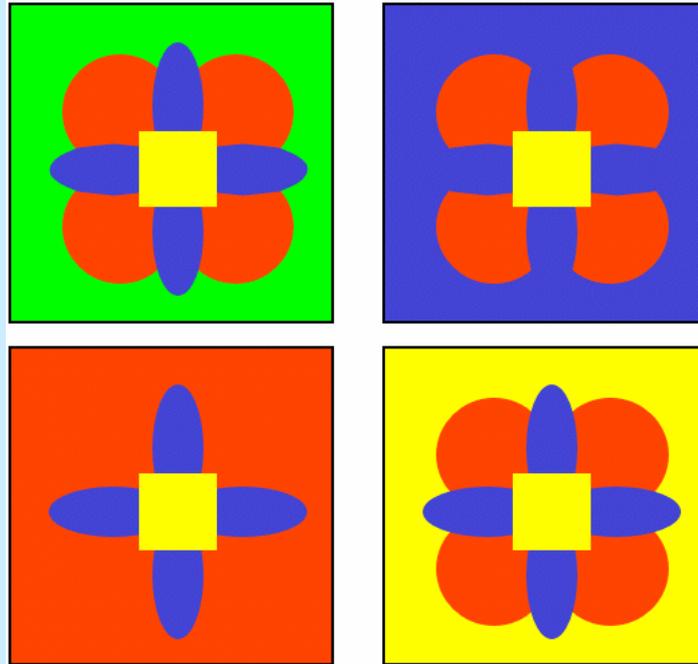
## Contrast Matching

reduce the number of phases “visible”



- The two distinct two - phase systems can be easily understood

# Contrast Variation



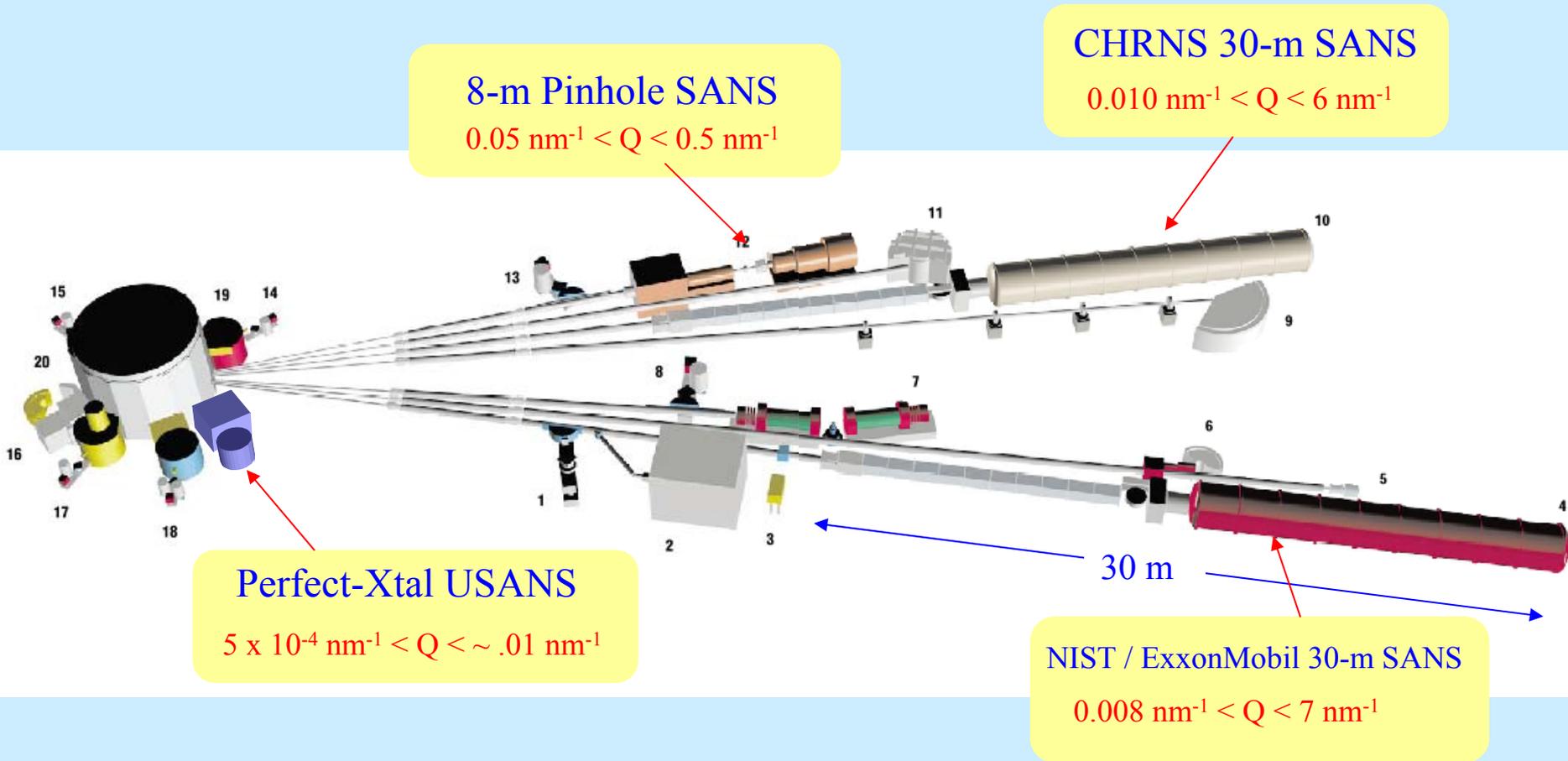
- A set of scattering experiments can yield a set of equations of known contrasts and unknown ‘partial structure functions’
- Sturhmann Analysis  
Determine structure from  $Rg = f(\text{contrast})$

# NIST Center for Neutron Research (NCNR)

- 20 MW Reactor ( $4 \times 10^{14}$  n/cm<sup>2</sup>-s peak thermal flux)
- Large liquid H<sub>2</sub> cold source (25 K)
- 21 beam facilities (7 thermal, 14 cold)
- 6 irradiation facilities



# SANS Instrumentation at the NCNR



# SANS Instruments at the NCNR



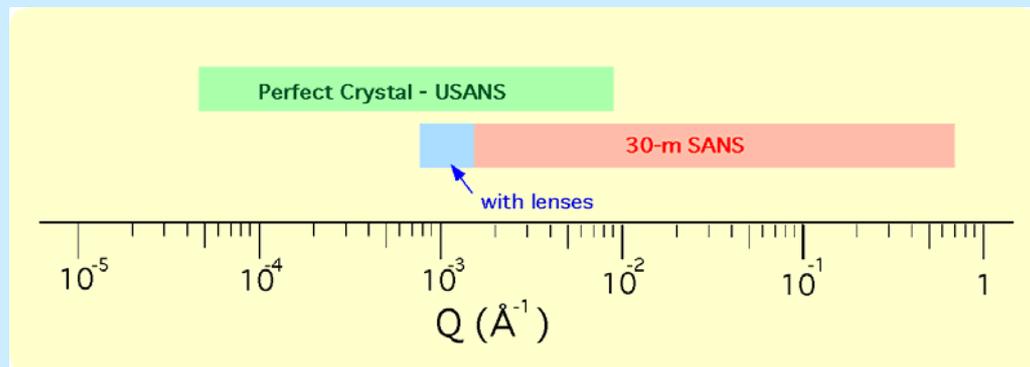
Detector  
shielding

Triple-bounce  
analyzer xtal

John Barker at Perfect-Crystal  
USANS Instrument



NIST/NSF 30-m SANS



# SANS APPLICATIONS

## POLYMERS:

- Conformation of Polymer Molecules in Solution and in the bulk
- Structure of Microphase-Separated Block Copolymers
- Factors Affecting Miscibility of Polymer Blends

## BIOLOGY:

- Organization of Biomolecular Complexes in Solution
- Conformation Changes Affecting Function of Proteins, Enzymes, DNA/Protein complexes, Membranes, etc.
- Mechanisms and Pathways for Protein Folding and DNA Supercoiling

## CHEMISTRY:

- Structure and Interactions in Colloidal Suspensions, Microemulsions, Surfactant Micelles, etc.
- Microporosity of Chemical Absorbents
- Mechanisms of Molecular Self-Assembly in Solutions and on Surfaces of Microporous Media

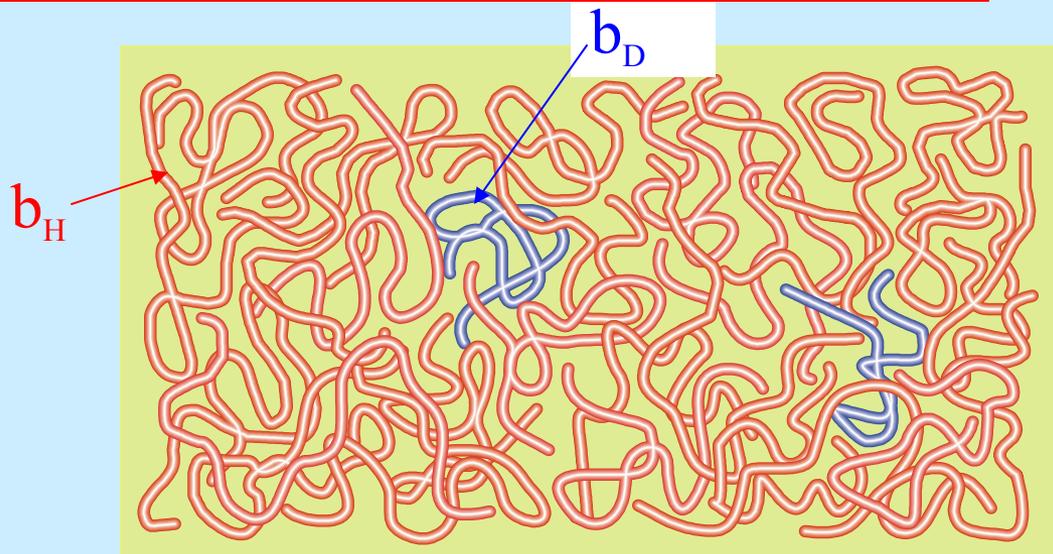
# Using Deuterium Labeling to Reveal Polymer Chain Conformation

$$I(Q) \propto c (b_H - b_D)^2 P(Q)$$

$P(Q) < -$  Single Chain

"Form Factor"

$$P(Q) = \frac{1}{z^2} \sum_i^N \sum_j^z \left\langle e^{i\vec{q} \cdot \vec{r}_{i,j}} \right\rangle$$



## High Concentration Labeling

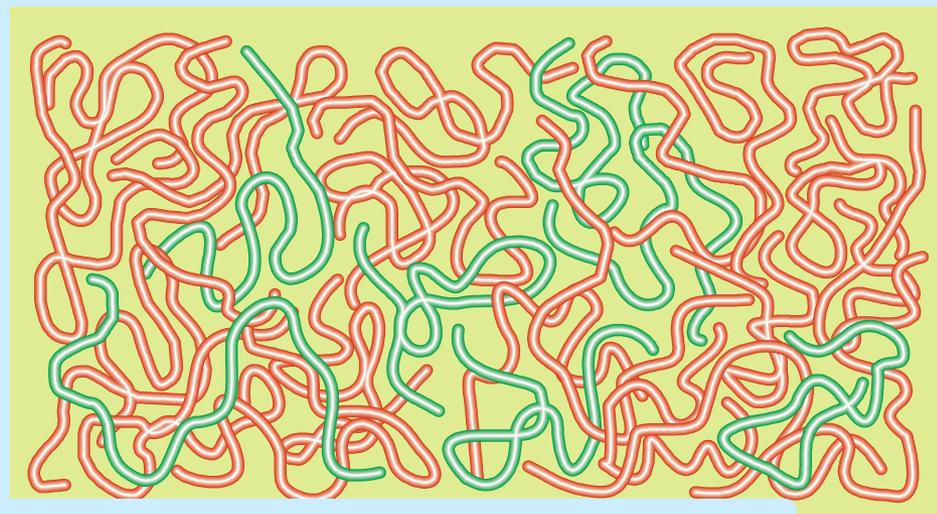
- if labeled chains are randomly dispersed

$$I(Q) \propto x (1 - x) (b_H - b_D)^2 P(Q)$$

↖ homopolymer

blend ↗

$$I(Q) \propto (x b_D + (1 - x)b_H)^2 S_T(Q) + x (1 - x) (b_H - b_D)^2 P(Q)$$



Can determine  $S_T$  and  $P(Q)$  from 2 measurements, with different fractions ( $x$ ) of labeled chains

# *SANS APPLICATIONS*

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## METALS AND CERAMICS:

- Kinetics and Morphology of Precipitate Growth in Alloys and Glasses
- Defect Structures (e.g. microcracks, voids) Resulting from Creep, Fatigue or Radiation Damage
- Grain and Defect Structures in Nanocrystalline Metals and Ceramics

## MAGNETISM:

- Magnetic Ordering and Phase Transitions in Ferromagnets, Spin Glasses, Magnetic Superconductors, etc.
- Flux-Line Lattices in Superconductors

# *General References: SANS and SAXS*

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*Methods of X-Ray and Neutron Scattering in Polymer Science*  
By R.-J. Roe, Oxford University Press (2000).

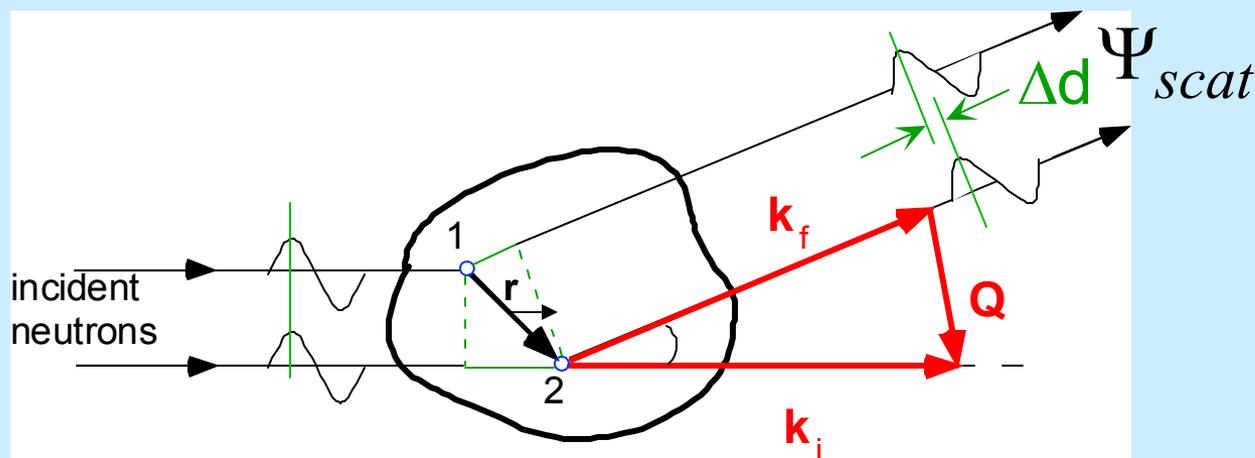
*X-Ray Diffraction in Crystals, Imperfect Crystals, and Amorphous Bodies*, A. Guinier, Dover Books (1994).

*Small-Angle Scattering of X-Rays*, A. Guinier and G. Fournet, John Wiley & Sons (1955).

*Polymers and Neutron Scattering*, J.S. Higgins and H.C. Benoit, Clarendon Press-Oxford (1994).

*Small Angle X-Ray Scattering*, O. Glatter and O. Kratky, Academic Press (1982).

# Appendix A. Scattering from Two Nuclei



$$\Delta\phi = 2\pi \frac{\Delta d}{\lambda}$$

$$\Delta\phi = \vec{r} \cdot \vec{k}_i - \vec{r} \cdot \vec{k}_f$$

$$\Delta\phi = \vec{r} \cdot (\vec{k}_i - \vec{k}_f)$$

$$\Delta\phi = \vec{r} \cdot \vec{Q}$$

$$\Psi_{scat} = -\frac{b_1}{R} e^{ikR} - \frac{b_2}{R} e^{i(kR + \Delta\phi)} \leftarrow \text{Scattered wave function}$$

for N nuclei

SAS of X rays - Guinier (1955), Ch.1

Polymers and Neutron Scattering,  
Higgins & Benoît (1994), p.11

$$\frac{d\sigma}{d\Omega} = \frac{1}{N} \left| \sum_1^N b_i e^{i\vec{Q} \cdot \vec{r}_i} \right|^2$$

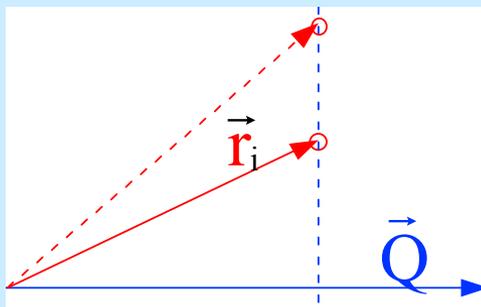
## Appendix A. Scattering from N Nuclei

$$\frac{d\sigma}{d\Omega} = \frac{1}{N} \left| \sum_1^N b_i e^{i\vec{Q}\cdot\vec{r}_i} \right|^2$$

Scattering cross section: # neutrons scattering in direction corresponding to  $Q$ , divided by # incident per unit area

$$\vec{r}_i = \vec{r}_{//} + \vec{r}_{\perp}$$

$$\vec{Q} \cdot \vec{r}_i = Q r_{//}$$



Only components of  $r_i$  parallel to  $Q$  contribute to summation

Therefore, diffraction probes structure in the direction of  $Q$  *only*!!