

# $\beta$ -Decay and the Precision Frontier

Susan Gardner

Fermi National Accelerator Laboratory  
Batavia, IL 60510 USA  
Department of Physics and Astronomy\*  
University of Kentucky  
Lexington, KY 40506

[gardner@pa.uky.edu](mailto:gardner@pa.uky.edu)

\* Permanent Address.

*Lecture 1: on the symmetries of the Standard Model (SM) and the role  $\beta$ -decay played in their elucidation*

*Lecture 2: on  $\beta$ -decay and precision tests of the SM at the quantum level*

*Lecture 3: on difficulties with the SM and how neutron observables open windows to their resolution*



# The Standard Model

describes all known electromagnetic, weak, and strong interaction phenomena in a formalism with predictive power.

It has gauge bosons  $\gamma$ ,  $W^\pm$ ,  $Z^0$ ,  $g$  and three generations of quarks

$$\begin{pmatrix} u \\ d \end{pmatrix} \quad \begin{pmatrix} c \\ s \end{pmatrix} \quad \begin{pmatrix} t \\ b \end{pmatrix}$$

and leptons

$$\begin{pmatrix} e \\ \nu_e \end{pmatrix} \quad \begin{pmatrix} \mu \\ \nu_\mu \end{pmatrix} \quad \begin{pmatrix} \tau \\ \nu_\tau \end{pmatrix}$$

and a fundamental scalar  $H_{\text{SM}}$  which has not yet been found.



Mass is key to explaining the relative strength of the weak and electromagnetic interactions.

Unified Electroweak spin = 1		
Name	Mass GeV/c <sup>2</sup>	Electric charge
$\gamma$ photon	0	0
$W^-$	80.39	-1
$W^+$ W bosons	80.39	+1
$Z^0$ Z boson	91.188	0

What is the origin of mass?

*The gauge boson masses, as well as the masses of the elementary fermions, arise through the spontaneously breaking of a local gauge symmetry.*

*This is known as the “Higgs mechanism”.*

The Higgs mechanism is also key to describing the quark mixing observed under the weak interactions: it gives rise to the Cabibbo-Kobayashi-Maskawa (CKM) matrix.

# Spontaneous Symmetry Breaking

Let's begin with the example of a Heisenberg ferromagnet:  $\mathcal{H} = -g \sum_{i,j} \mathcal{S}_i \cdot \mathcal{S}_j$  with  $g > 0$ .

At  $T < T_c$  the system develops a non-zero magnetization  $\mathcal{M} \neq 0$ .

The Hamiltonian is rotationally invariant, but its ground state is not - the symmetry of  $\mathcal{H}$  is **hidden**.

Spontaneous symmetry breaking (SSB) also operates in QCD.

The  $u, d$  quarks are very light compared to  $M_p$ . If  $m_q = 0$

$$\mathcal{L}_{\text{QCD}} = \mathcal{L}_{\text{QCD}}^L + \mathcal{L}_{\text{QCD}}^R$$

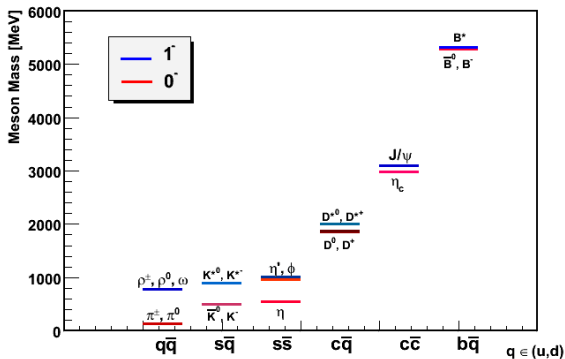
If this chiral symmetry were explicit, one would expect the low-lying hadronic spectrum to contain **parity doublets**, but this does not occur.

Perhaps the axial vector currents are *spontaneously broken*. [Nambu and Jona-Lasinio,

Phys. Rev. 122, 345 (1961).]

**Goldstone's Theorem:** For every spontaneously broken continuous symmetry, the theory must contain a massless particle (Goldstone boson). [Goldstone, Nuovo Cim. 19, 154 (1961)]

# The Pattern of Low-Lying Meson Masses

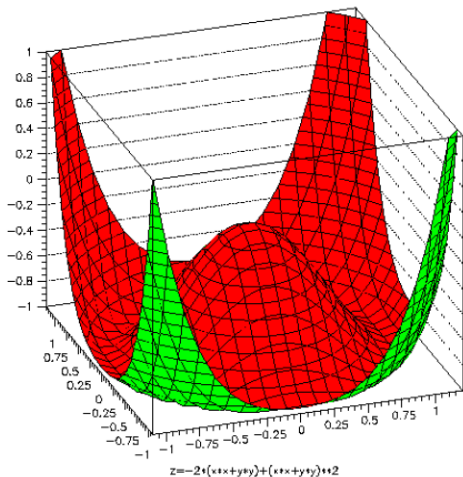


- Masses of states which differ only in  $(u, d)$  are nearly degenerate.
- There are eight low-lying  $0^-$  states —  $\pi^\pm, \pi^0, K^0, \bar{K}^0, K^\pm$ , and  $\eta$  — the  $\eta'$  is much heavier.

We can explain this pattern by invoking symmetries which are, in turn, approximate (isospin), spontaneously broken (chiral), and anomalous (axial  $U(1)$ ).

# Spontaneous Symmetry Breaking

Here's a class of potentials which can be used to describe the spontaneous breaking of a continuous symmetry...



A "Mexican Hat" Potential

# Secret Symmetry

Let's see how this can work. Consider the potential for a real field  $\phi$ . Suppose  $\mu^2 > 0$ , real and  $\lambda > 0$ .

$$V(\phi) = \frac{1}{2}\mu^2\phi^2 + \frac{\lambda}{4!}\phi^4$$

This is symmetric under  $\phi \rightarrow -\phi$ , and the minimum energy state is  $\phi = 0$ .

What if  $\mu^2 \rightarrow -\mu^2$ ? Then

$$V(\phi) = -\frac{1}{2}\mu^2\phi^2 + \frac{\lambda}{4!}\phi^4$$

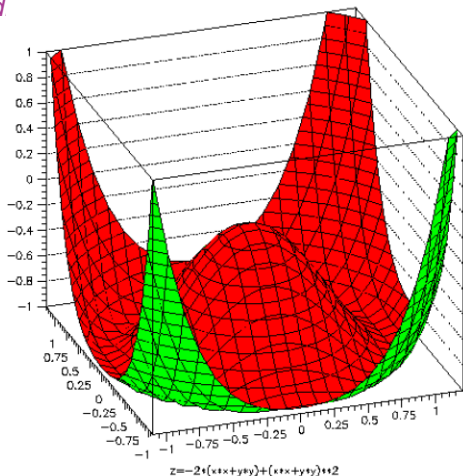
Now the minimum energy state corresponds to  $\phi \neq 0$ ! There are two minima. Expanding  $\phi$  about one minimum,  $\phi(x) = v + \sigma(x)$ , e.g., we would find that the new potential no longer had  $\sigma \rightarrow -\sigma$  manifest.

If we had looked at the potential corresponding to the surface of revolution of this potential ( $N = 2$  scalar fields), we would have had a continuous symmetry, and if we had expanded about the vacuum expectation value, we would have found a massless state.

# The Higgs Mechanism

*A continuous, local symmetry can be spontaneously broken without yielding Goldstone bosons; rather, the gauge bosons gain mass. Our by now-familiar potential is that of the Higgs scalar field*

For now, we set aside the question of **why** the  $W^\pm$  and  $Z$  gauge bosons have the masses that they do; this mechanism provides **no explanation** for this, nor for the pattern of fermion masses.



A "Mexican Hat" Potential



# Spontaneous Symmetry Breaking without Goldstone Bosons

Here we work in the context of a theory with local gauge invariance - and that makes all the difference!

Let's consider QED with no fermions but with a complex scalar field:

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + (D_\mu\phi)(D^\mu\phi^*) - V(\phi)$$

This  $\mathcal{L}$  is invariant under

$$\phi(x) \rightarrow e^{i\alpha(x)}\phi(x) \quad ; \quad A_\mu(x) \rightarrow A_\mu(x) - \frac{1}{e}\partial_\mu\alpha(x)$$

This is a  $U(1)$  symmetry.

**N.B.**  $\phi$  is coupled to the photon through  $D_\mu = \partial_\mu - ieA_\mu$ .

Now when we expand  $\phi$  about the minimum of  $V(\phi)$ , spontaneously breaking the local gauge symmetry, we find that our would-be massless state gives mass to the photon!

**A model for the Meißner effect in Type I superconductors!** [Landau-Ginzburg theory]

This mechanism generalizes to non-Abelian gauge theory.

# The Glashow-Salam-Weinberg Model

To build the Standard Model, we must

- **Pick the gauge group:** The electroweak portion of the Standard Model is a quantum field theory based on a  $SU(2)_L \times U(1)_Y$  local gauge symmetry.
- **Choose the particle content and its group representations and charge assignments:**

We put a complex scalar field in a  $SU(2)_L$  doublet. This upon SSB will yield 3 massive gauge bosons:  $W^\pm, Z^0$ . Since the  $W^\pm$  carry electric charge, electromagnetism must “lie across”  $SU(2)_L \times U(1)_Y$ . N.B.

$Q = T_3 + Y$ . Note  $\phi$  has  $Y = +1/2$ .

We put the fermions in left-handed doublets and right-handed singlets generation by generation.

E.g.,

$$\begin{pmatrix} \nu_e \\ e^- \end{pmatrix}_L \equiv E_L \quad T_3 = \pm \frac{1}{2} \quad Y = -\frac{1}{2}$$
$$e_R \quad T_3 = 0 \quad Y = -1$$

# The Glashow-Salam-Weinberg Model

## Fermion Masses

We cannot give the fermions mass as in QED because

$$m_e \bar{\psi} \psi = m_e (\bar{\psi}_L \psi_R + \bar{\psi}_R \psi_L)$$

is forbidden by  $SU(2)_L \times U(1)$  gauge invariance!

**We must use the Higgs mechanism!**

$$\mathcal{L}_{e\text{mass}} = -\lambda_e \bar{E}_L \phi e_R + h.c.$$

with  $\phi_0 = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v \end{pmatrix}$

$$\mathcal{L}_{e\text{mass}} = -\frac{1}{\sqrt{2}} \lambda_e v \bar{e}_L e_R + h.c.$$

and we identify the electron mass  $m_e = \frac{1}{\sqrt{2}} \lambda_e v$ .

**For 3 generations of down-like and up-like quarks:**

$$\mathcal{L}_{q\text{mass}} = -\lambda_d^{ij} \bar{Q}_L^i \phi d_R^j - \lambda_u^{ij} \bar{Q}_L^i \phi^\dagger u_R^j + h.c.$$

**CP is broken if  $\lambda_{d,u}^{ij}$  are complex!**

N.B.  $Q_L$  has  $T_3 = \pm 1/2$ ,  $Y = +1/6$ ;  $u_R$  has  $Y = +2/3$ ;  $d_R$  has  $Y = -1/3$

## Quark Masses and Mixings

The  $\lambda_{d,u}^{ij}$  can be anything! They are only constrained by symmetry before we fit them to experiment.

We can simplify by rotating to a basis in which the quark mass matrix is diagonal

$$u_L^i = \mathcal{U}_u^{ij} u_L^j \quad ; \quad d_L^i = \mathcal{U}_d^{ij} d_L^j$$

This complicates the expression, however, for the quark charged weak current:

$$\begin{aligned} J_{W^+}^\mu &= \frac{1}{\sqrt{2}} \bar{u}_L^i \gamma^\mu d_L^i \\ &= \frac{1}{\sqrt{2}} \bar{u}_L^i \gamma^\mu (\mathcal{U}_u^\dagger \mathcal{U}_d)_{ij} d_L^j \end{aligned}$$

Enter the Cabibbo-Kobayashi-Maskawa matrix:

$$V_{\text{CKM}} = \mathcal{U}_u^\dagger \mathcal{U}_d$$

$\mathcal{U}_{u,d}$  is clearly unitary, and thus  $V_{\text{CKM}}$  is also.

# The Cabibbo-Kobayashi-Maskawa (CKM) Matrix

The decay  $K^- \rightarrow \mu^- \bar{\nu}_\mu$  occurs: the quark mass eigenstates *mix* under the weak interactions. By convention

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix}_{\text{weak}} = V_{\text{CKM}} \begin{pmatrix} d \\ s \\ b \end{pmatrix}_{\text{mass}} \quad ; \quad V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

In the **Wolfenstein parametrization (1983)**

$$V_{\text{CKM}} = \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4)$$

where  $\lambda \equiv |V_{us}| \simeq 0.22$  and is thus “small”.  $A, \rho, \eta$  are real.

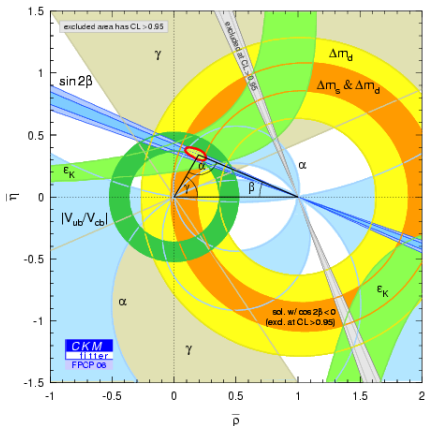
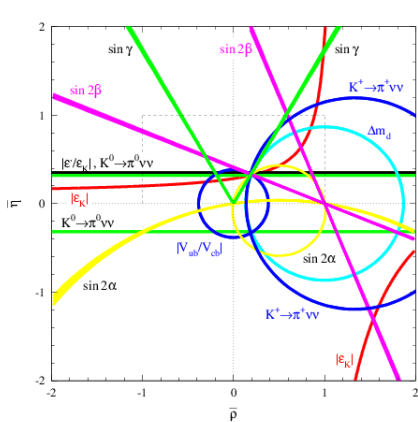
# Testing the Quark Mixing Matrix

In the Standard Model (SM)

- There are three “generations” of particles. Thus, the CKM matrix is unitary.
- The unitarity of the CKM matrix and the structure of the weak currents implies that four parameters capture the CKM matrix.
- A real, orthogonal  $3 \times 3$  matrix is captured by three parameters. The fourth parameter ( $\eta$ ) must make  $V_{\text{CKM}}$  complex.
- All CP-violating phenomena are encoded in  $\eta$ .

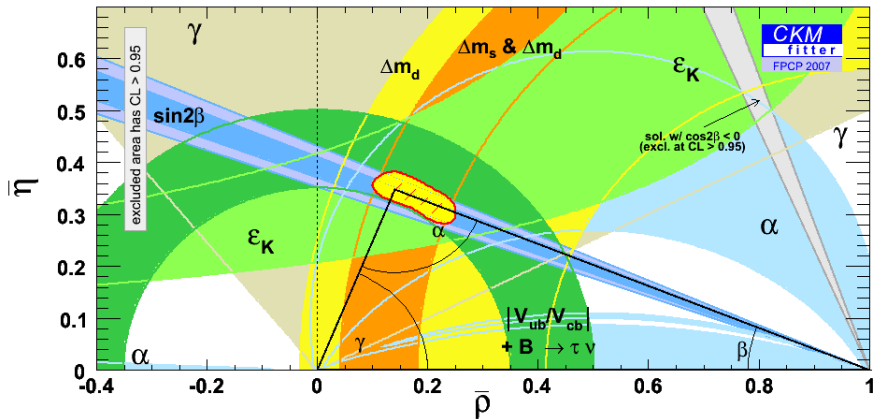
To test the SM picture of CP violation we must test the relationships it entails.

# Testing CKM Unitarity – “the” Unitarity Triangle



[CKMfitter: hep-ph/0104062, hep-ph/0406184 ; <http://ckmfitter.in2p3.fr> – April, 2006 update]

# Testing CKM Unitarity – “the” Unitarity Triangle



[CKMfitter: hep-ph/0104062, hep-ph/0406184 ; <http://ckmfitter.in2p3.fr> – June, 2007 update]

The possibility of non-SM CP violation is gradually being relegated to a smaller and smaller role, but some intriguing discrepancies remain....



# A Precision Test of CKM Unitarity

## Using the global fits...

The first row of the CKM matrix yields the most precise test of CKM unitarity:

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 0.9992 \pm 0.0011$$

whereas

$$|V_{ud}|^2 + |V_{cd}|^2 + |V_{td}|^2 = 1.001 \pm 0.005$$

$$|V_{cd}|^2 + |V_{cs}|^2 + |V_{cb}|^2 = 0.968 \pm 0.181$$

N.B. the  $W$  leptonic width and the  $V_{ud}$  unitarity test (1<sup>st</sup> row) yields

$$|V_{cd}|^2 + |V_{cs}|^2 + |V_{cb}|^2 = 1.003 \pm 0.027$$

cf.  $\alpha + \beta + \gamma = 184_{-15}^{+20}^\circ$ .

[“The CKM Quark-Mixing Matrix,” PDG, 2006.]

**Tests to this precision require precise computations of SM radiative corrections...**

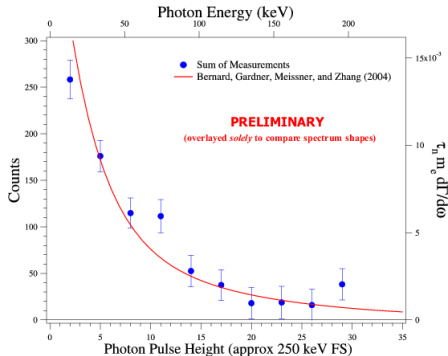
$$g_\nu = V_{ud}(1 + \Delta\hat{r}_\beta - \Delta\hat{r}_\mu)$$

The precision of the current unitarity tests probes the reliability of these computations to the sub-1% level.

# Testing SM radiative corrections

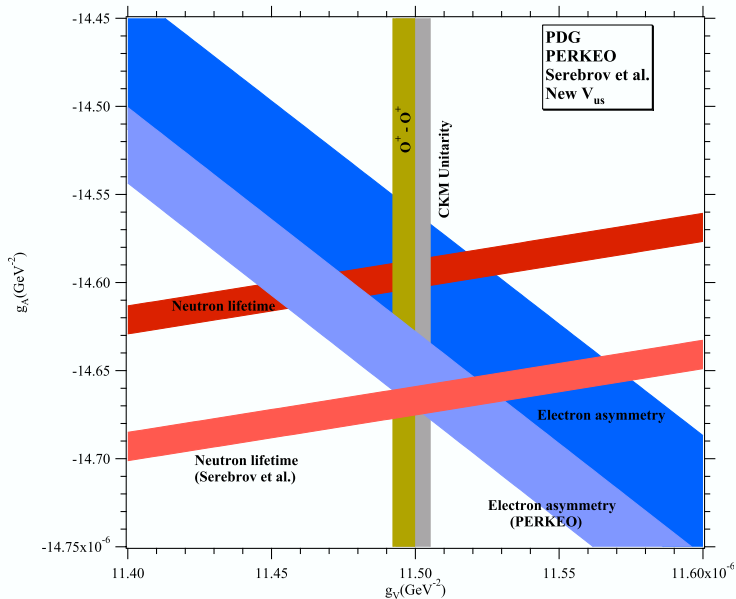
SM radiative corrections relate the vector weak coupling constant  $g_V$  of the nucleon to  $V_{ud}$ .

The decay  $n \rightarrow pe^- \bar{\nu}_e \gamma$  has finally been observed.



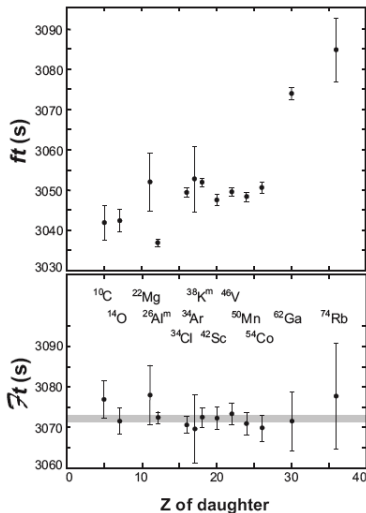
For  $\omega \in [0.015 \text{ MeV}, 0.340 \text{ MeV}]$  we find a Br of  $2.85 \cdot 10^{-3}$ , cf. with the expt'l result of  $3.13 \pm 0.34 \cdot 10^{-3}$ . [Nico et al. (NIST), Nature, 2006]

The  $\mathcal{O}(1/M)$  terms contribute  $\mathcal{O}(0.04\%)$  to the Br.



The best determination of  $V_{ud}$  comes from  $0^+ \rightarrow 0^+$  decays in nuclei. [Hardy and

Towner, arXiv:0812.1202v1]



The corrected  $\mathcal{F}t$  values require nuclear-structure-dependent corrections:

$$\mathcal{F}t \equiv \frac{ft(1 + \delta'_R)(1 + \delta_{NS} - \delta_C)}{K} = \frac{K}{2G_V^2(1 + \Delta_V^V)}$$

Note i) nuclear axial radiative correction, ii) charge-dependent nuclear matrix element overlap, iii) hadronic structure in the  $\gamma W^\pm$  box. ii) has been recently criticized [Miller and Schwenk, 2008]

Note breaking developments vis-a-vis iii) [Gorchtein and Horowitz, 2008]

The following textbooks provide helpful background and should aid in assimilating the information found in the journal articles cited throughout.

- Banks, *Modern Quantum Field Theory*, 2008
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- Commins and Bucksbaum, *Weak Interactions of Leptons and Quarks*, 1983.
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- Peskin and Schroeder, *An Introduction to Quantum Field Theory*, 1995
- Ramond, *Journeys Beyond the Standard Model*, 1999