

$(C_{60})_N@SWCNT$

«peapods»

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Carbon: diamond, graphite, ...

5	6	7
B	C	N
boron	carbon	nitrogen
10.811(7)	12.0107(8)	14.0067(2)
13	14	15

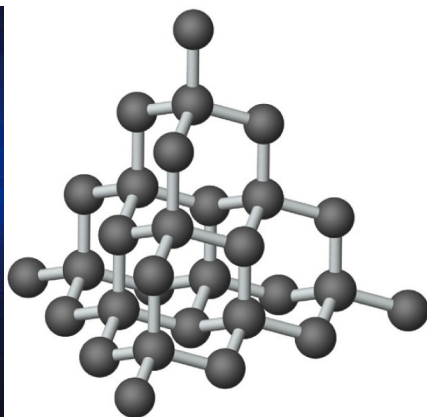
element nr. 6

4 valence electrons

1) organic compounds

DNA, fuel, plastics, etc.

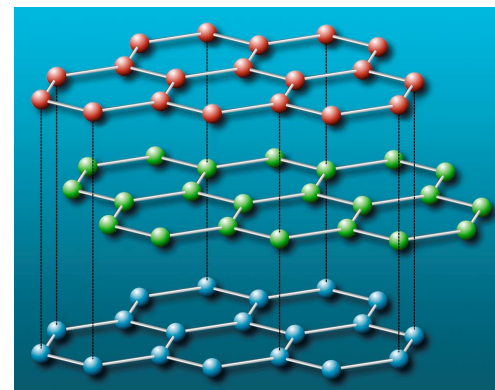
2) carbon-only compounds



diamond



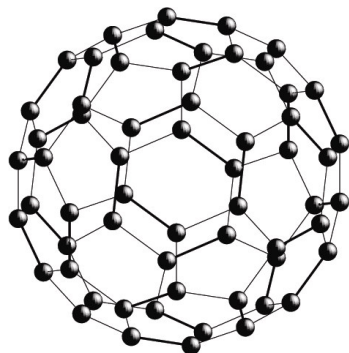
graphite



C₆₀

H.W. Kroto, J.R. Heath, S.C. O'Brien, R.F. Curl, and R.E. Smalley, Nature **318**, 162 (1985)

cage-like carbon clusters: **fullerenes**



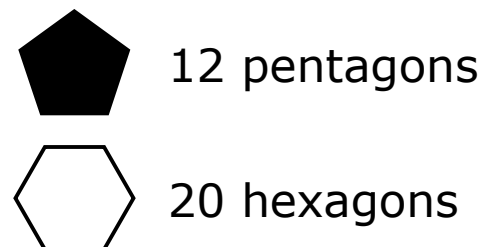
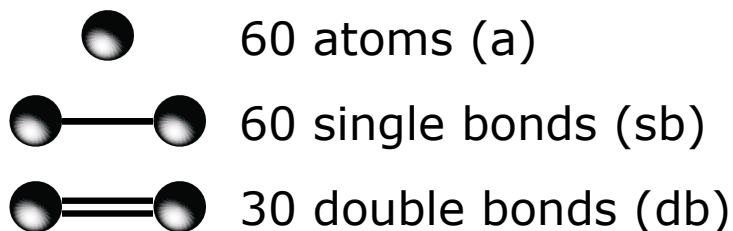
C₆₀:
buckminsterfullerene
a.k.a.
"buckyball"



truncated
icosahedron
a.k.a.
football



Richard
"Bucky"
Buckminster Fuller
(1895-1983)



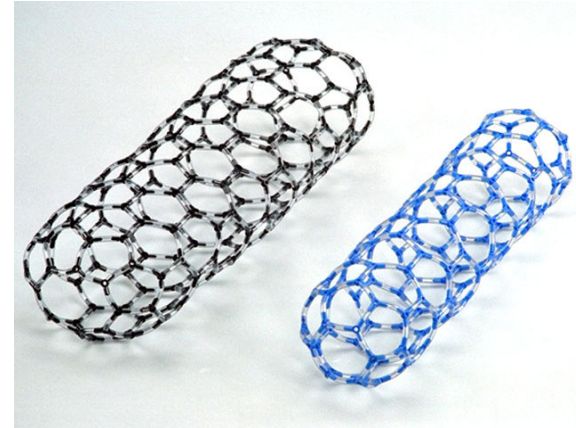
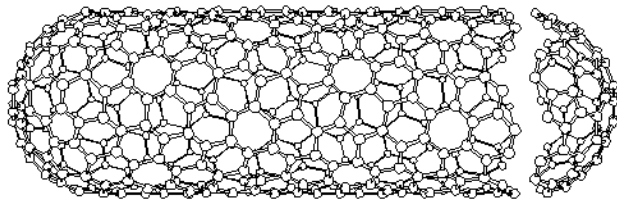
Solid C₆₀: Krätschmer, Huffman ... (1990)

Carbon nanotubes

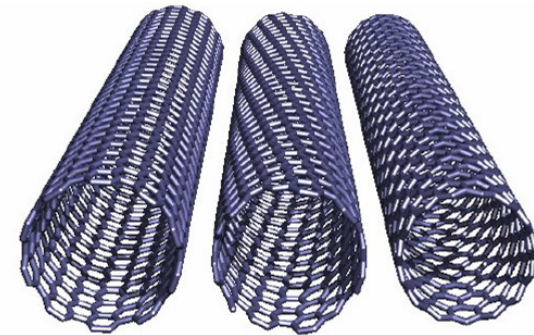
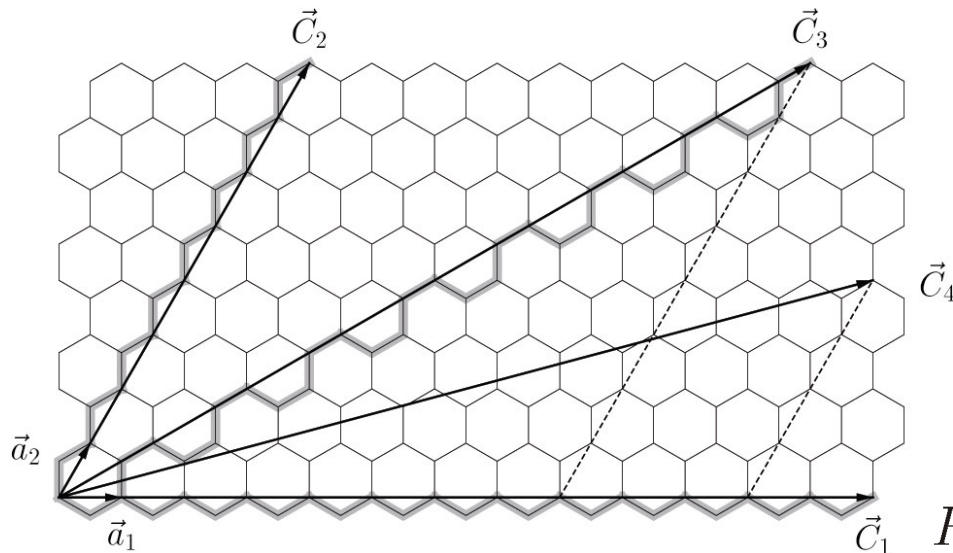
S. Iijima, Nature **354**, 56 (1991)

tube-like carbon clusters: **carbon nanotubes**

nanotube = long fullerene



nanotube = rolled-up graphene sheet



$$\vec{C}(n, m) = n\vec{a}_1 + m\vec{a}_2$$

$$R_T(n, m) \propto \sqrt{n^2 + nm + m^2}$$



$(C_{60})_n @ SWCNT = \text{peapod}$



B.W. Smith, M. Monthieux, and D.E. Luzzi, Nature 396, 323 (1998)

C_{60} @SWCNT: “crystal field”

potential energy of a C_{60} molecule inside a SWCNT:
“crystal field”

$$V_{CF}(\alpha, \beta, \gamma; n, m)$$

molecular orientation: **Euler angles** (α, β, γ)

SWCNT structure: **indices** (n, m)

SWCNT:

uniform “carbonic” cylinder

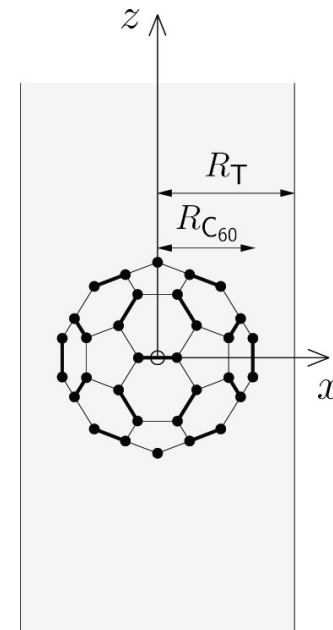
$$V_{CF}(\alpha, \beta, \gamma; R_T)$$

theoretical reason: **cylindrical symmetry** ($D_{\infty h}$)

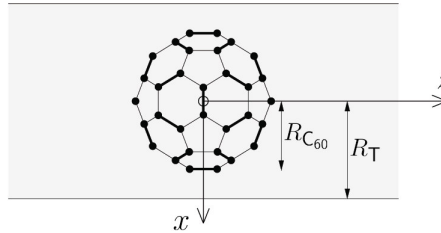
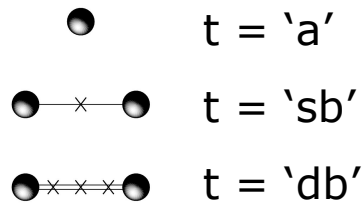
experimental justification: **SWCNT mixture** ($\{(n, m)\}$)

C_{60} molecule:

icosahedral cluster of
atoms, double bonds and single bonds



C₆₀@SWCNT: standard orientation



$$\vec{\rho} = (\rho, \Phi, Z)$$

$$\vec{r}_{\Lambda_t} = (r_{\Lambda_t}, \theta_{\Lambda_t}, \phi_{\Lambda_t})$$

We consider **atom – wall** interactions ...

v.d. Waals

$$V_{CF}^a(R_T) = \sum_{\Lambda_a=1}^{60} \int_0^\infty \rho d\rho \int_0^{2\pi} d\Phi \int_{-\infty}^{+\infty} dZ u^a(|\vec{\rho} - \vec{r}_{\Lambda_a}|) \sigma \delta(\rho - R_T)$$

$$u^a(r) = C_1^a e^{-C_2^a r} - \frac{B^a}{r^6} \quad \sigma = 0.38 \text{ \AA}^{-2} \text{ (graphite)}$$

... as well as **double - and single bond – wall interactions.**

$$V_{CF}(R_T) = \sum_{t=a,db,sb} \sum_{\Lambda_t=1}^{N_t} \left[\sigma R_T \int_0^{2\pi} d\Phi \int_{-\infty}^{+\infty} dZ u^t(|\vec{\rho} - \vec{r}_{\Lambda_t}|) \Big|_{\rho=R_T} \right]$$

|||
 $U^t(\theta_{\Lambda_t})$ spherical harmonics $Y_l^m(\theta, \phi)$

||
 $\sum_{\substack{l=0 \\ l \text{ even}}}^{\infty} v_l^t(R_T) Y_l^{m=0}(\theta_{\Lambda_t})$

C_{60} @SWCNT: arbitrary orientation

$$V_{\text{CF}}(\alpha, \beta, \gamma; R_{\text{T}}) = \mathcal{R}(\alpha, \beta, \gamma) V_{\text{CF}}(R_{\text{T}})$$

$$= \sum_{\text{t=a,db,sb}} \sum_{\Lambda_{\text{t}}=1}^{N_{\text{t}}} \sum_{\substack{l=0 \\ l \text{ even}}}^{\infty} v_l^{\text{t}}(R_{\text{T}}) \boxed{\mathcal{R}(\alpha, \beta, \gamma) Y_l^{m=0}(\theta_{\Lambda_{\text{t}}})}$$

Wigner D -functions $\mathcal{D}_{n,m}^l(\alpha, \beta, \gamma)$

$$\sum_{n=-l}^l \boxed{\mathcal{D}_{n,m=0}^l(\alpha, \beta, \gamma)} Y_l^n(\theta_{\Lambda_{\text{t}}}, \phi_{\Lambda_{\text{t}}})$$

α, β, γ : Euler angles

$$\parallel \sqrt{\frac{4\pi}{2l+1}} [Y_l^n(\beta, \gamma)]^*$$

$$V_{\text{CF}}(\beta, \gamma; R_{\text{T}}) = \sum_{l=0,6,10,\dots} w_l(R_{\text{T}}) \mathcal{U}_l(\beta, \gamma)$$

$$\approx w_0(R_{\text{T}}) \mathcal{U}_0(\beta, \gamma) + w_6(R_{\text{T}}) \mathcal{U}_6(\beta, \gamma) + w_{10}(R_{\text{T}}) \mathcal{U}_{10}(\beta, \gamma) + w_{12}(R_{\text{T}}) \mathcal{U}_{12}(\beta, \gamma)$$

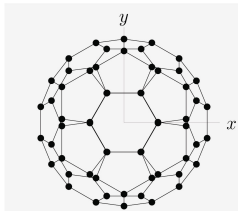
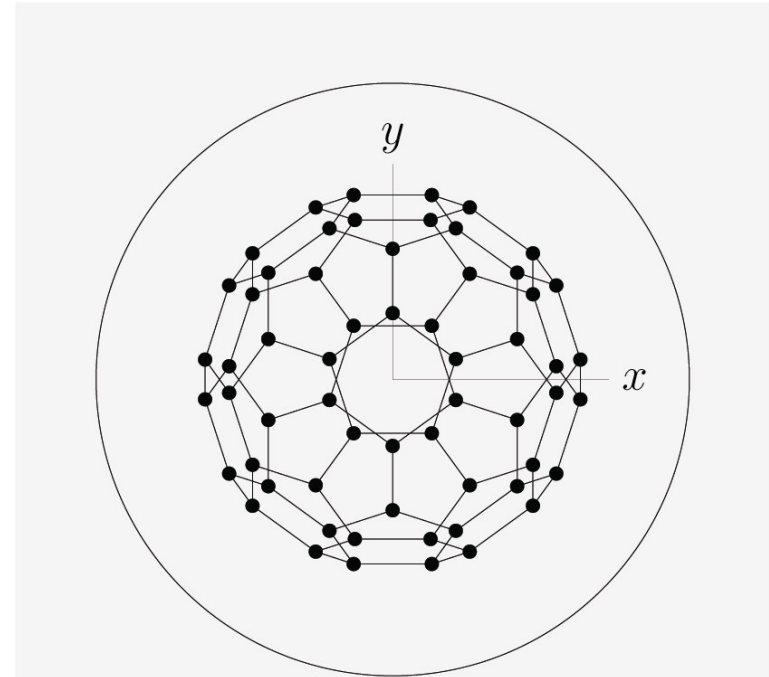
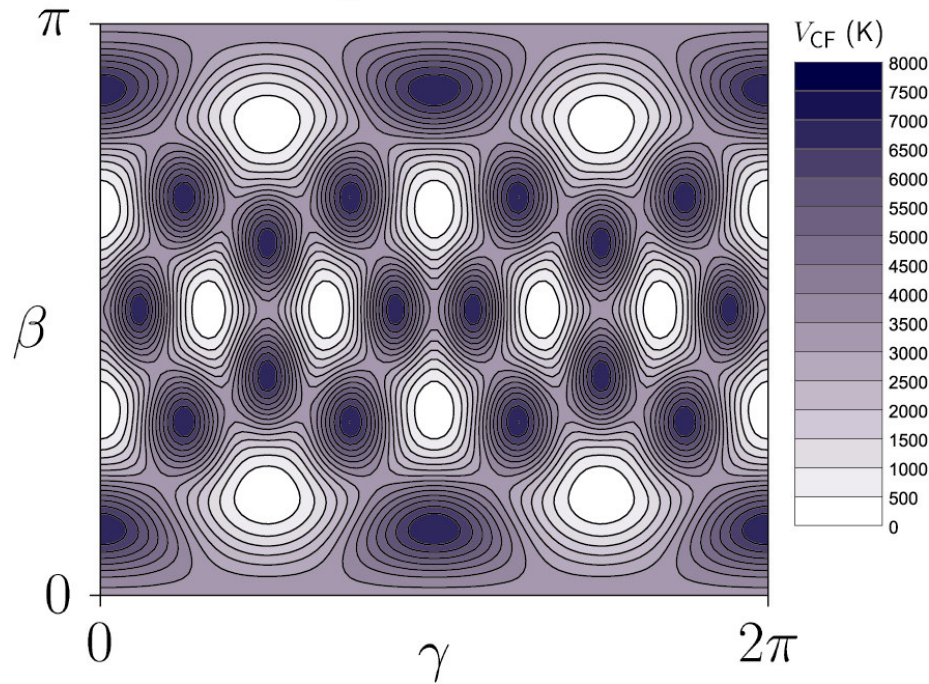
symmetry-adapted rotator functions (SARFs) $\mathcal{U}_l(\beta, \gamma)$

icosahedral C_{60} symmetry: $l = 0, 6, 10, \dots$

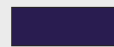
cylindrical tube symmetry: no α dependence

C_{60} @SWCNT: Mercator* map #1

$$R_T = 6.0 \text{ \AA} \quad V_{CF}(\beta, \gamma; R_T)$$



20 energy maxima



hexagons \perp tube axis

12 energy minima



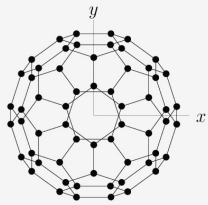
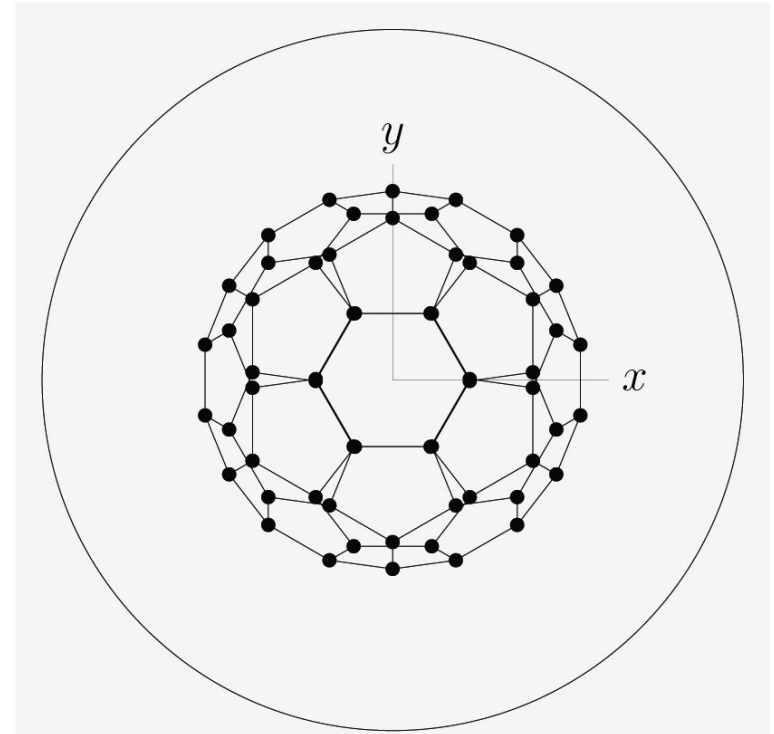
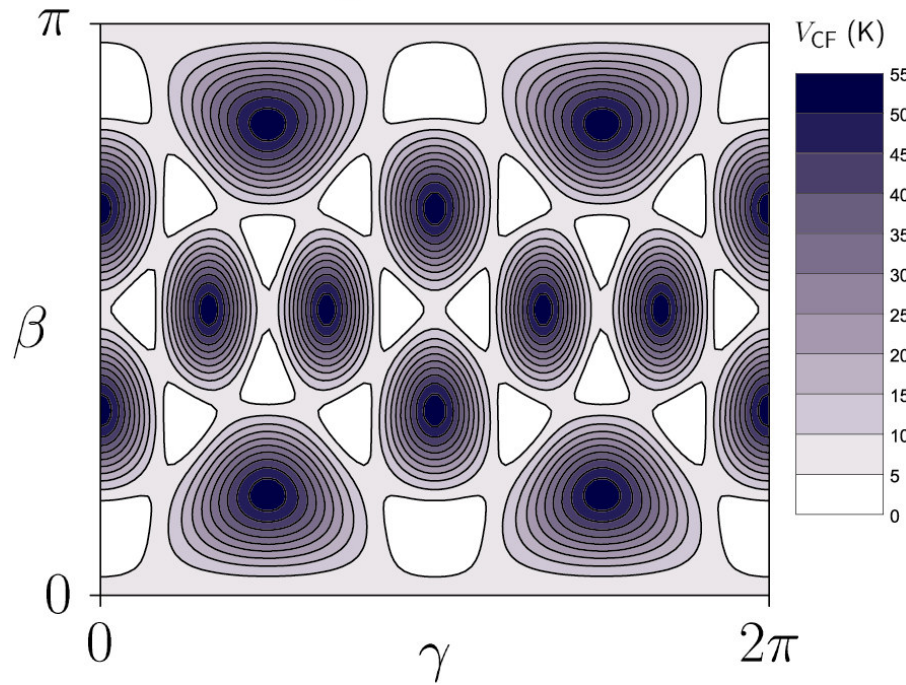
pentagons \perp tube axis

C_5 // tube axis

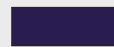
*Gerardus Mercator (1512-1594): Flemish cartographer, inventor of the cylindrical projection.

C_{60} @SWCNT: Mercator map #2

$$R_T = 8.0 \text{ \AA} \quad V_{CF}(\beta, \gamma; R_T)$$



12 energy maxima



pentagons \perp tube axis

20 energy minima

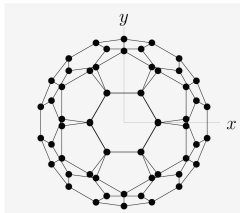
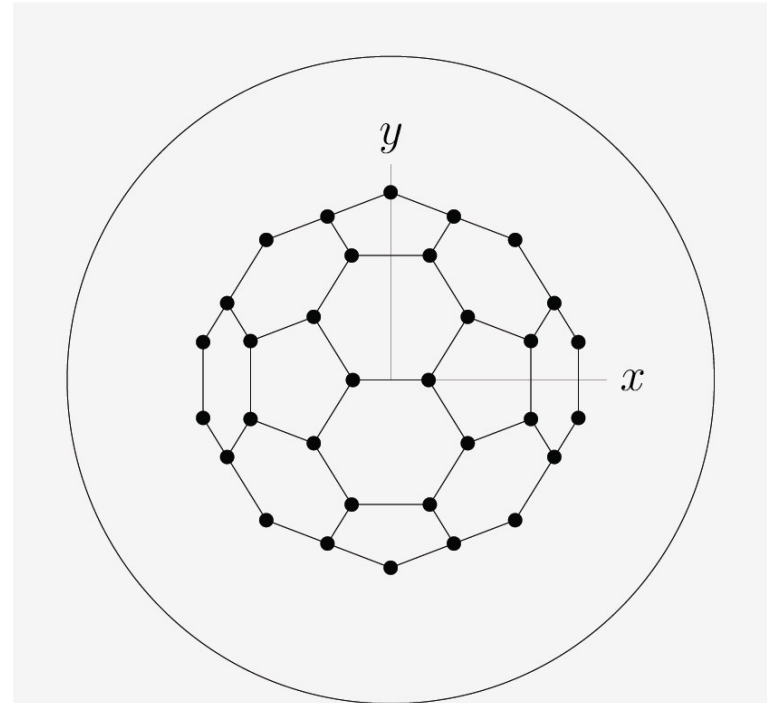
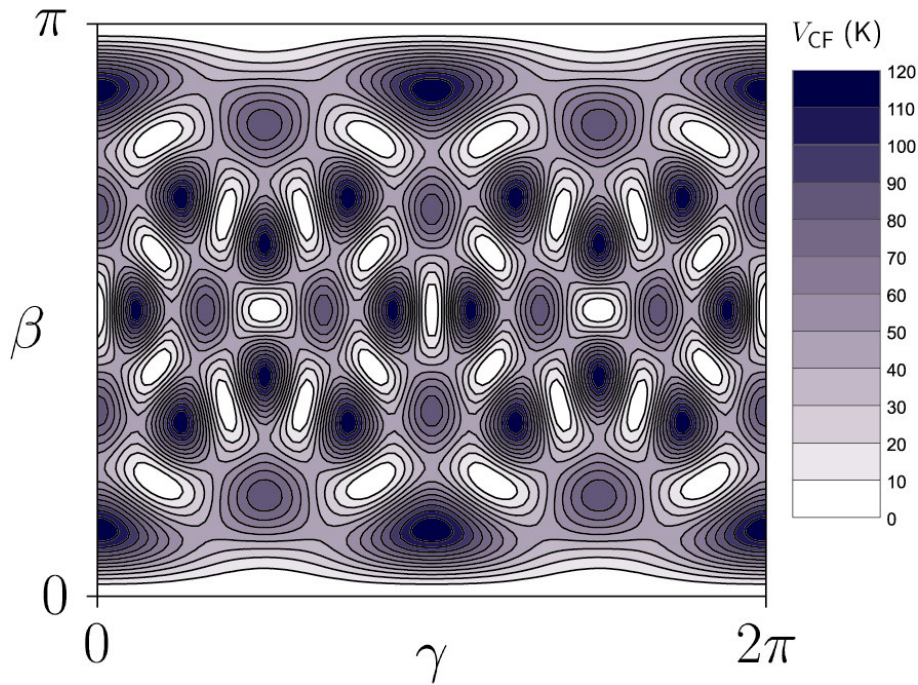


hexagons \perp tube axis

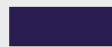
C_3 // tube axis

C_{60} @SWCNT: Mercator map #3

$R_T = 7.0 \text{ \AA}$ $V_{CF}(\beta, \gamma; R_T)$



20 energy maxima



hexagons \perp tube axis

30 energy minima

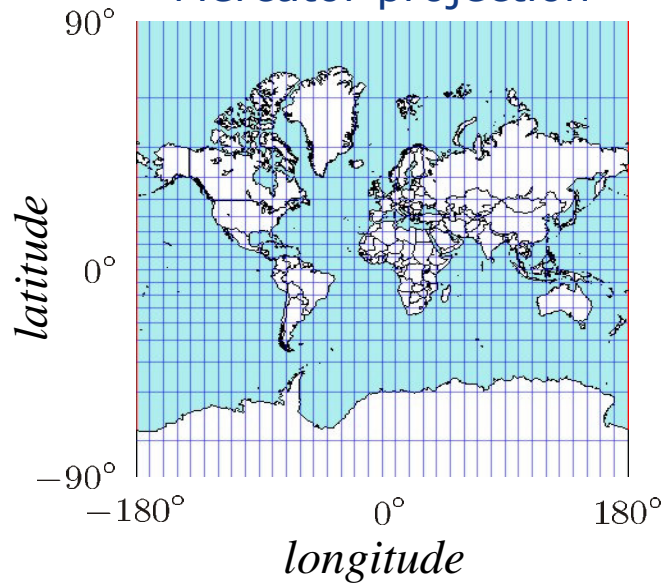


double bonds \perp tube axis

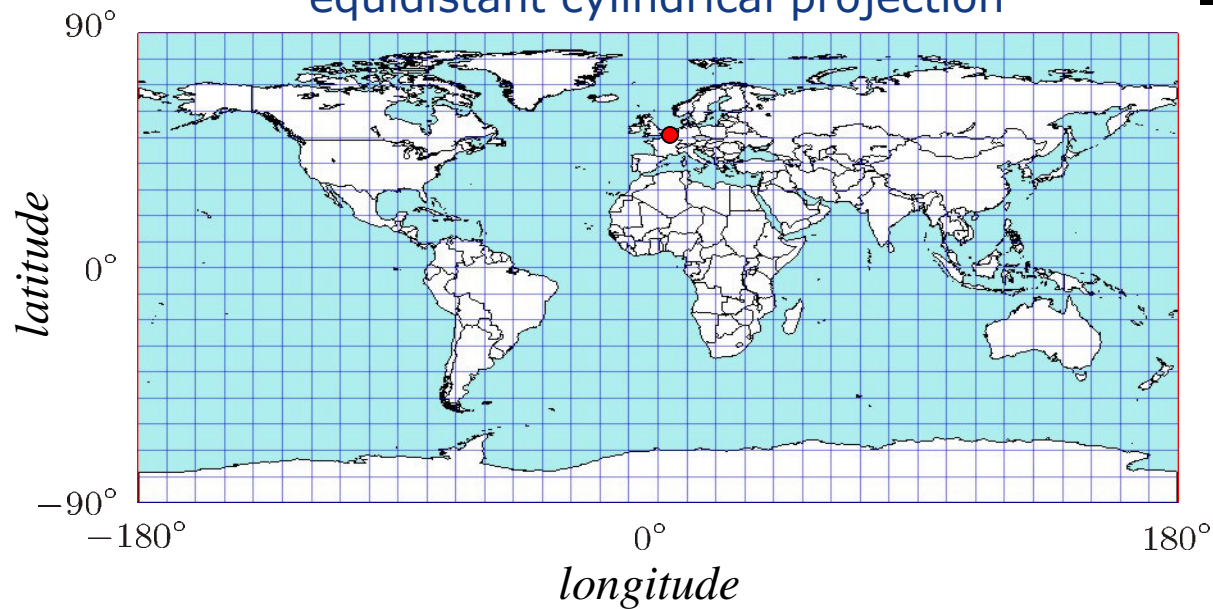
C_2 // tube axis

Mercator maps

Mercator projection



equidistant cylindrical projection



Gerardus Mercator
(1512-1594)

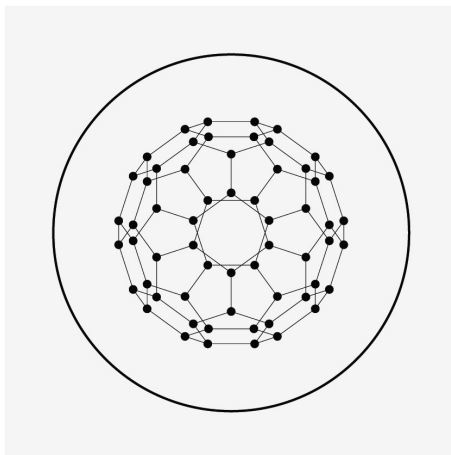
Antwerp

latitude = 51.20°

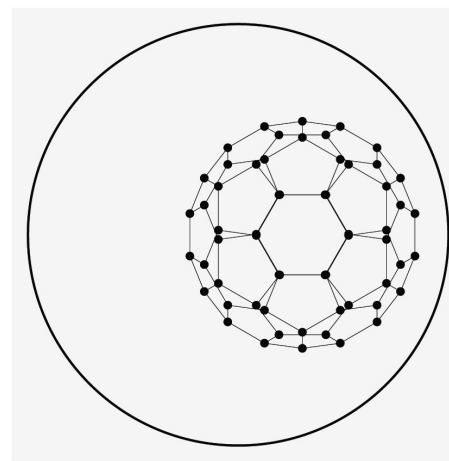
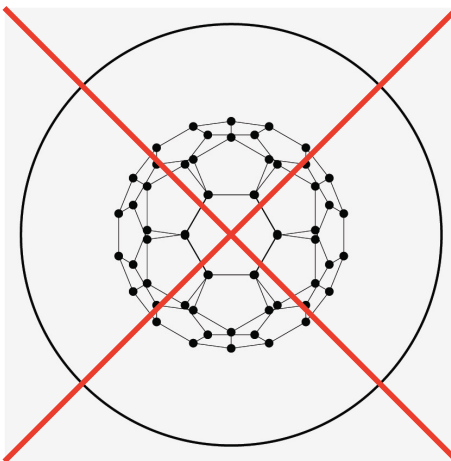
longitude = 4.47°

$C_{60}@SWCNT$: sticking

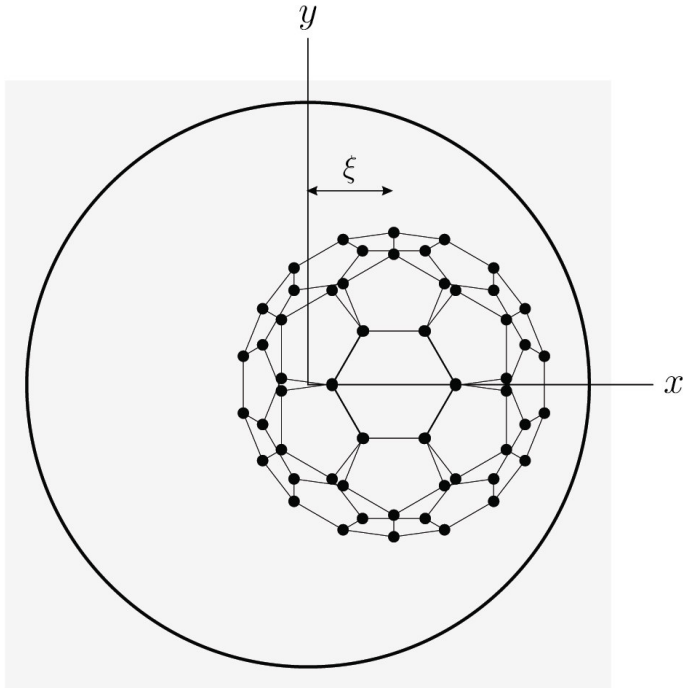
small tube radius



large tube radius



C₆₀@SWCNT: sticking



critical tube radius: $R_T \approx 7 \text{ \AA}$

$R_T > 7 \text{ \AA}$: **off-axis arrangement**

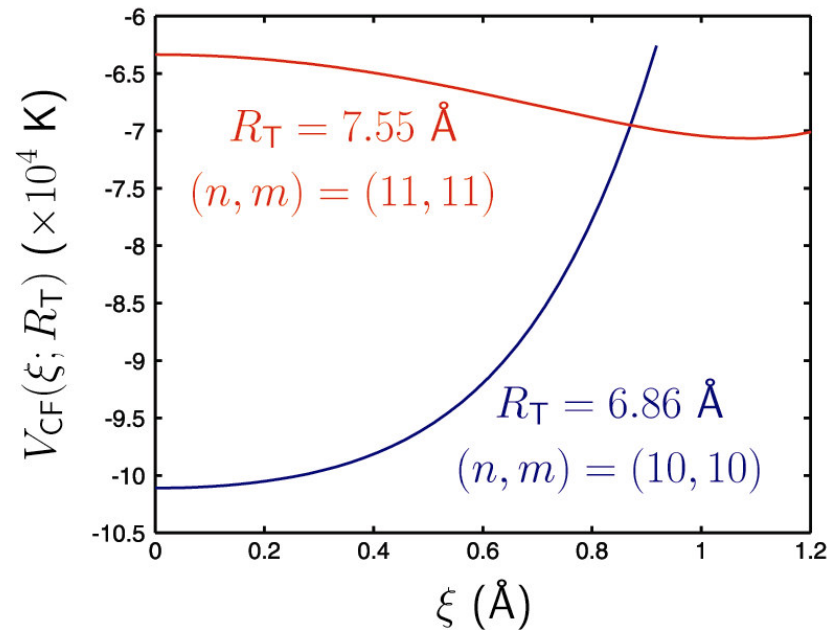
$R_T < 7 \text{ \AA}$: **on-axis arrangement**

crystal field:

$$V_{CF}(\alpha, \beta, \gamma; \xi; R_T)$$

(α, β, γ) **dependence negligible:**

$$V_{CF}(R_T; \xi)$$



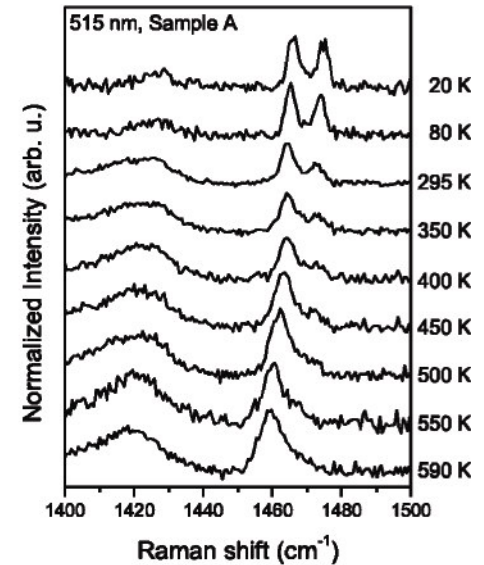
$(C_{60})_n$ @SWCNT: resonant Raman scattering

R. Pfeiffer et al., *Phys. Rev. B* **69**, 035404 (2004)

“Splitting” of $A_g(2)$ Raman active mode ...

$$A_g(2) \rightarrow A_g(2)' + A_g(2)$$

... but $A_g(2)$ mode non-degenerate!?



Different tube radii ...

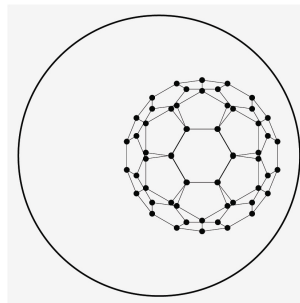
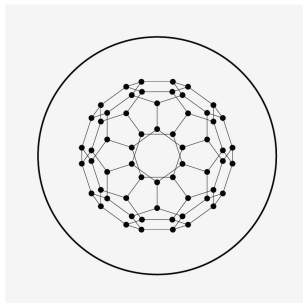
$$R_T < 7 \text{ \AA}$$

$$R_T > 7 \text{ \AA}$$

... result in different C_{60} arrangements ...

on-axis

off-axis

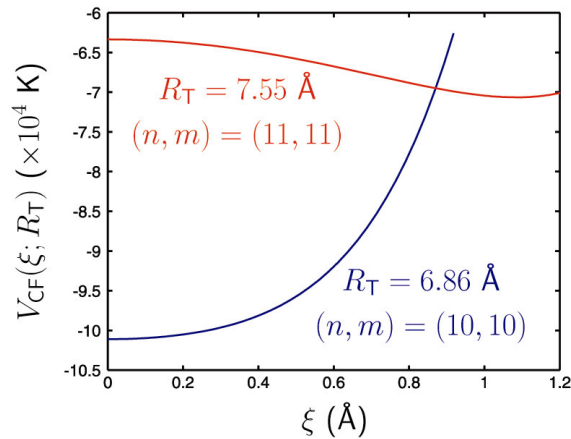


... and in **different vibrational frequencies** (at sufficiently low T).

$(C_{60})_n @ SWCNT$: a 1D system

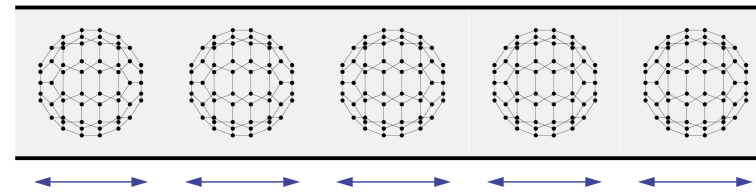
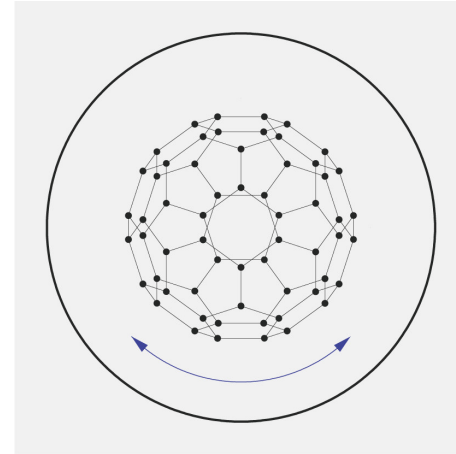
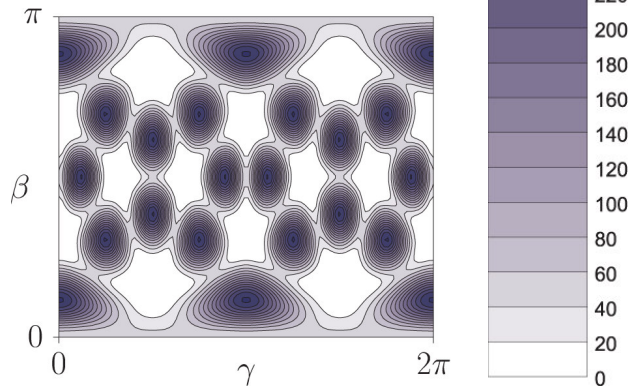
$R_T < 7 \text{ \AA}$: crystal field $V_{CF}(\beta, \gamma; R_T) =$ confinement potential

1) on-axis confinement



2) orientational confinement

$R_T = 6.86 \text{ \AA}$



**$(C_{60})_n @ SWCNT$:
a physical realization of
a 1D system with
translational and orientational
degrees of freedom**

$(C_{60})_n$ @SWCNT: degrees of freedom

1) translational degrees of freedom (translations): Taylor series

$$V(n+1, n) = V + V' [u(n+1) - u(n)] + \frac{1}{2} V'' [u(n+1) - u(n)]^2 + \dots$$

2) orientational degrees of freedom (rotations): Fourier series

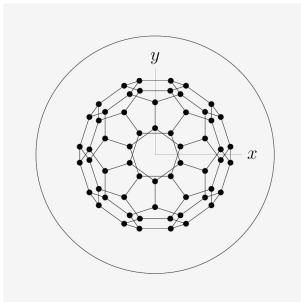
$(s=5)$

$$V = V(\psi(n+1) - \psi(n)) = A_0 + A_5 \cos\left(5[\psi(n+1) - \psi(n)]\right) + \dots$$

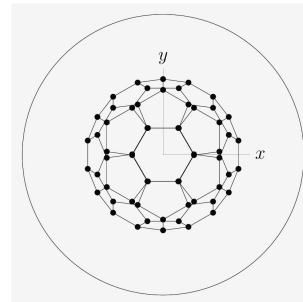
$$V' = V'(\psi(n+1) - \psi(n)) = A'_0 + A'_5 \cos\left(5[\psi(n+1) - \psi(n)]\right) + \dots$$

$$V'' = V''(\psi(n+1) - \psi(n)) = A''_0 + \dots$$

equilibrium lattice constant: $A'_0(a) = 0$



$$s = 5$$
$$a = 10.033 \text{ \AA}$$



$$s = 3$$
$$a = 10.200 \text{ \AA}$$

$(C_{60})_n @ SWCNT$: 'torsio-thermo mechanics'

crystal sum: hamiltonian

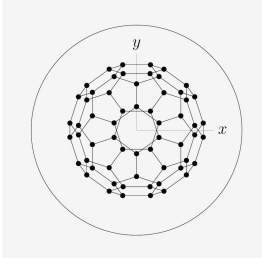
$$\sum_{n=1}^{N-1} V(n+1, n) = (N-1)A_0 + V^{RR} + V^{TT} + V^{RRT} + \dots$$

$(s=5)$ $V^{TT} = \frac{A_0''}{2} \sum_n [u(n+1) - u(n)]^2$ V. Emery, J. Axe (1978)

$$V^{RRT} = A_5' \sum_n \vec{S}(n+1) \cdot \vec{S}(n) [u(n+1) - u(n)] \approx A_5' \Gamma(1) \sum_n [u(n+1) - u(n)]$$

lattice contraction

$$\langle u(n+1) - u(n) \rangle = -\frac{A_5' \Gamma(1)}{A_0''}$$



$s = 5$

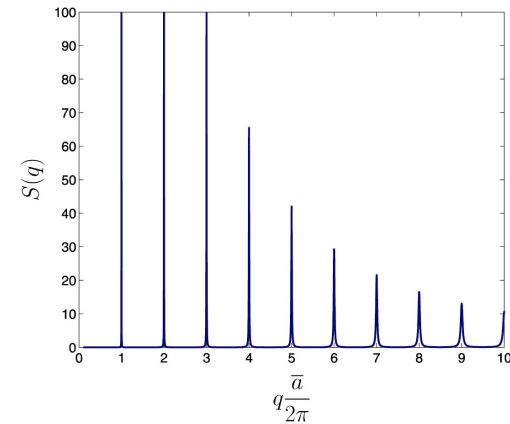
$T = 300 \text{ K}$

$$\langle u(n+1) - (n) \rangle = -0.0003 \text{ \AA}$$

elastic scattering law

$$S(q) = \frac{1}{N} \sum_n \sum_{n'} e^{-iqa(n-n')} \langle e^{-iq[u(n) - u(n')]} \rangle$$

$$\bar{a} = a - \frac{A_5' \Gamma(1)}{A_0''}$$



Summary

$C_{60}@SWCNT$

- dependence of crystal field on tube radius
distinct molecular orientations
- on-axis vs. off-axis C_{60} molecules depending on tube radius
resonance Raman scattering measurements
- low tube radii
 $(C_{60})_n@SWCNT$ 1D system